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**Adopted on 4 December 2013
(Agenda item 10)**

**2013 NON-EXHAUSTIVE LIST OF OBLIGATIONS UNDER INSTRUMENTS
RELEVANT TO THE IMO INSTRUMENTS IMPLEMENTATION CODE**

THE ASSEMBLY,

RECALLING Article 15(j) of the Convention on the International Maritime Organization concerning the functions of the Assembly in relation to regulations and guidelines regarding maritime safety and the prevention and control of marine pollution from ships,

RECALLING ALSO that, by resolution A.1054(27), it adopted the *Code for the Implementation of Mandatory IMO Instruments, 2011*, annexes to which provide a non-exhaustive list of instruments and obligations for guidance on the implementation and enforcement of IMO instruments, in particular concerning the identification of the auditable areas relevant to the Voluntary IMO Member State Audit Scheme,

RECOGNIZING the need for the annexes to the above-mentioned Code to be further revised to take account of the amendments to the IMO instruments referred to in the Code which have entered into force or become effective since the adoption of resolution A.1054(27),

RECOGNIZING ALSO that parties to the relevant international conventions have, as part of the ratification process, agreed to fully meet their responsibilities and to discharge their obligations under the conventions and other instruments to which they are party,

REAFFIRMING that it is the primary responsibility of States to have in place an adequate and effective system to exercise control over ships entitled to fly their flag and to ensure that they comply with relevant international rules and regulations in respect of maritime safety, security and protection of the marine environment,

REAFFIRMING ALSO that States, in their capacity as flag, port and coastal States, have other obligations and responsibilities under applicable international law in respect of maritime safety, security and protection of the marine environment,

NOTING that while States may gain certain benefits by becoming party to instruments aimed at promoting maritime safety, security and protection of the marine environment, these benefits can be fully realized only when all parties carry out their obligations as required by the instruments concerned,

NOTING ALSO that the ultimate effectiveness of any instrument depends, inter alia, upon all States:

- (a) becoming party to all instruments related to maritime safety, security and pollution prevention and control;
- (b) implementing and enforcing such instruments fully and effectively; and
- (c) reporting to the Organization as required,

NOTING ALSO that, by resolution A.1070(28), it adopted the IMO Instruments Implementation Code (III Code), revoking resolution A.1054(27) on the *Code for the Implementation of Mandatory IMO Instruments, 2011*,

NOTING ALSO that, by resolutions A.1083(28), A.1084(28) and A.1085(28), it adopted amendments to the International Convention on Load Lines, 1966, the International Convention on Tonnage Measurement of Ships, 1969, and the Convention on the International Regulations for Preventing Collisions at Sea, 1972, to make the use of the III Code mandatory under these conventions,

NOTING FURTHER that the Marine Environment Protection Committee and the Maritime Safety Committee have developed requirements for adoption by Contracting Governments to the International Convention for the Safety of Life at Sea, 1974, the Protocol of 1988 relating to the International Convention on Load Lines, 1966, the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto, the Protocol of 1997 to amend the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto, and the International Convention on Standards of Training, Certification and Watchkeeping for Seafarers, 1978, respectively, to make the use of the III Code mandatory under these instruments,

HAVING CONSIDERED the recommendations made by the Marine Environment Protection Committee, at its sixty-fifth session, and the Maritime Safety Committee, at its ninety-second session,

- 1 ADOPTS the 2013 Non-exhaustive list of obligations under instruments relevant to the IMO Instruments Implementation Code, as set out in the annex to the present resolution;
- 2 URGES Governments of all States, in their capacity as flag, port and coastal States, to make as much use as possible of the list in the implementation of IMO instruments on a national basis;
- 3 REQUESTS the Maritime Safety Committee and the Marine Environment Protection Committee to keep the list under review and, under the coordination of the Council, to propose amendments thereto to the Assembly.

Annex

**2013 NON-EXHAUSTIVE LIST OF OBLIGATIONS UNDER INSTRUMENTS
RELEVANT TO THE IMO INSTRUMENTS IMPLEMENTATION CODE**

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Annex 1

OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES

The following table contains a non-exhaustive list of obligations, including those obligations imposed when a right is exercised.

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
TONNAGE 69		
Art. 1	General obligation under the Convention	
Art. 5(2)	Force majeure	
Art. 8	Issue of a certificate by another Government	
Art. 10	Cancellation of certificate	
Art. 11	Acceptance of certificates	
Art. 15	Communication of information	
LL 66 and LL PROT 88¹		
Art. 1	General obligation under the Convention	
	General obligations	LL PROT 88 only (Art. I)
Art. 7(2)	Force majeure	
Art. 17	Issue or endorsement of certificates by another Government	amended by LL PROT 88
Art. 20	Acceptance of certificates	
Art. 25	Special rules drawn up by agreement	
Art. 26	Communication of information	
	Communication of information	LL PROT 88 only (Art. III)

¹ When the obligation does not derive from the International Convention on Load Lines, 1966, but solely from the Protocol of 1988 relating thereto, this is indicated in the "Comments" column.

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
COLREG 72 Art. I	General obligations	
STCW 78 Art. I Art. IV Art. XI(1) Reg. I/2.12 Reg. I/2.14 Regs. I/2.15 and 2.16 Reg. I/3 Reg. I/5 Reg. I/6.1 Reg. I/6.2 Reg. I/7 Regs. I/8.1 and 8.2 Reg. I/8.3 Regs. I/9.1 and 9.2	General obligations under the Convention Communication of information Promotion of technical cooperation Issue of certificates of competency Maintenance of a register or registers of all certificates and endorsements Availability of information on the status of certificates of competency, endorsements and dispensations (as of 1 January 2017, available in English through electronic means) Principles governing near-coastal voyages, communication of information and incorporation of limits in the endorsements National provisions – impartial investigation, enforcement measures including penalties or disciplinary measures and cooperation Training and assessment – administration, supervision and monitoring Qualification of those responsible for training and assessment Communication of the information as referred to in article IV of the STCW 78 Convention and A-I/7 of the STCW Code Quality standards system and periodical independent evaluation Communication of a report Medical standards, procedures for the issue of medical certificates and recognition of medical practitioners	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. I/9.6	Procedures governing the validity of a medical certificate which expires in the course of a voyage	
Regs. I/11.4 and 11.5	Comparison of standards of competence – determination of need for appropriate refresher and updating training or assessment and formulation or promotion of the formulation of a structure of refresher and updating courses	
Reg. II/5.3	Comparison of standards of competence – determination of the need to update qualifications for able seamen to whom certificates are issued before 1 January 2012	
Reg. III/5.3	Comparison of standards of competence – determination of the need to update qualifications for ratings in engine department to whom certificates are issued before 1 January 2012	
Reg. III/6.3	Comparison of standards of competence – determination of the need to update qualifications for electro-technical officers to whom certificates are issued before 1 January 2012	
Reg. III/7.3	Comparison of standards of competence – determination of the need to update qualifications for electro-technical officers to whom certificates are issued before 1 January 2012	
Reg. VII/3.1	Principles governing the issue of alternative certificates	
SOLAS 74		
Art. I	General obligations under the Convention	in SOLAS PROT 78 and SOLAS PROT 88
Art. III	Communication of information	in SOLAS PROT 78 and SOLAS PROT 88
Art. V(c)	Carriage of persons in emergencies – reporting	
Art. VII	Special rules drawn up by agreement	
Art. XI	Denunciation	in SOLAS PROT 88 (Art. VII)

OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. I/13	Issue or endorsement of certificates by another Government	in SOLAS PROT 88
Reg. I/17	Acceptance of certificates	also reg. I/19(b)
Reg. I/21(b)	Casualties – reporting	
Reg. IV/5	Provision of radiocommunication services and communication of information on such provision	
Reg. IV/5-1	Global maritime distress and safety system identities – ensuring suitable arrangements	
Reg. V/5	Meteorological services and warnings	
Reg. V/6	Ice Patrol Service	
Reg. V/10	Ships' routeing	
Reg. V/11	Ship reporting systems	
Reg. V/12	Vessel traffic services	
Reg. V/13	Establishment and operation of aids to navigation	
Reg. V/31.2	Danger messages – bring to the knowledge of those concerned and communicate to other interested Governments	
Reg. V/33.1-1	Distress situations: obligations and procedures – coordination and cooperation	
Reg. VI/1.2	Appropriate information on safe carriage of cargoes	
Reg. VII/2.4	Issue of instructions on emergency response, etc.	
Reg. VII/7-1	Issue of instructions on emergency response, etc.	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
MARPOL		
Art. 1	General obligations under the Convention	and Art. I of MARPOL PROT 78
Arts. 4(2) and 4(4)	Violation	
Art. 5(1)	Certificates and special rules on inspection of ships – acceptance of certificates	
Art. 5(4)	Certificates and special rules on inspection of ships – no more favourable treatment	
Art. 6(1)	Detection of violations and enforcement of the Convention – cooperation	
Art. 6(3)	Detection of violations and enforcement of the Convention – furnishing evidence	
Art. 7	Undue delay to ships	
Art. 8	Reports on incidents involving harmful substances	
Art. 11	Communication of information	
Art. 12(2)	Casualties to ships – information to IMO	
Art. 17	Promotion of technical cooperation	
Annex I		
Reg. 8	Issue or endorsement of a certificate by another Government	
Reg. 15.7	Control of discharge of oil – investigations (machinery spaces)	
Reg. 34.7	Control of discharge of oil – investigations (cargo area)	
Regs. 38.3 <i>bis</i> and 38.4 <i>bis</i>	Consultation with IMO for circulation of information regarding reception facilities by Parties participating in regional arrangements	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Annex II		
Reg. 6.3	Categorization and listing of noxious liquid substances and other substances – establish and agree on provisional assessment and notify IMO	
Regs. 9.3.1, 9.3.2, 9.3.3 and 9.3.4	Issue or endorsement of a certificate by another Government	
Reg. 13.4	Control of discharges of residues – exemption for a prewash	
Regs. 18.2 <i>bis</i> and 18.2 <i>ter</i>	Consultation with IMO for circulation of information regarding reception facilities by Parties participating in regional arrangements	
Reg. 18.3	Reception facilities and cargo unloading terminal arrangements – agreeing and establishing a date, notifying IMO	
Annex III		
Reg. 1(3)	Application – issue detailed requirements	
Annex IV		
Reg. 6	Issue or endorsement of a certificate by another Government	
Reg. 12.1 <i>bis</i>	Consultation with IMO for circulation of information regarding reception facilities by Parties participating in regional arrangements	
Annex V		
Reg. 8.2 <i>bis</i>	Consultation with IMO for circulation of information regarding reception facilities by Parties participating in regional arrangements	
Annex VI		
Reg. 7	Issue or endorsement of a certificate by another Government	Addition related to IECC by MEPC.203(62)
Regs. 9.9.3 and 9.11.2	Transfer of flag – transmitting copies of the certificate and the relevant survey report	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. 11.1	Detection of violations and enforcement – cooperation	
Reg. 11.2	Inspection report in case of detection of violations	
Reg. 11.3	Detection of violations and enforcement – information to flag State and master on violations detected	
Reg. 11.5	Transmission of report to requesting Party	
Reg. 13.7.1	Certification of an approved method and communication to IMO	
Reg. 17.1	Adequate reception facilities	
Reg. 17.1 <i>bis</i>	Consultation with IMO for circulation of information regarding reception facilities by Parties participating in regional arrangement	
Reg. 17.3	Reception facilities unavailable or inadequate – communication to IMO	
Reg. 18.1	Availability of fuel oils and communication to IMO	
Reg. 18.2.1	Ship not compliant with fuel oil standards	
Reg. 18.2.3	Action taken, including not taking control measures	
Reg. 18.2.5	Evidence of the non-availability of compliant fuel oil – communication to IMO	
Reg. 18.9	Authorities designated for register of local suppliers, bunker delivery note and sample, fuel oil quality, actions against fuel oil suppliers of non-compliance, informing the Administration of any ship receiving non-compliant fuel oil and communication to IMO of non-compliant fuel oil suppliers as referred to in the paragraph	
ISM Code Para. 14.3	Extension of validity of Interim SMC by another Contracting Government	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
1994 HSC Code		
Para. 1.8.2	Issue of certificates by another Government	
Para. 14.2.1.12	Definition of "sea area A1"	as may be defined
Para. 14.2.1.13	Definition of "sea area A2"	as may be defined
2000 HSC Code		
Para. 1.8.2	Issue of certificates by another Government	
Para. 14.2.1.13	Definition of "sea area A1"	as may be defined
Para. 14.2.1.14	Definition of "sea area A2"	as may be defined
IMDG Code		
Section 1.3.1	Training of shore-side personnel – establishment of the period of time for keeping records of training	
Section 1.5.2	Radiation protection programme – role of Competent Authority	
Section 1.5.3	Quality assurance programmes – role of Competent Authority	
Chapter 3.3	Approval of metal hydride storage system(s) installed in conveyances or in completed conveyance components or intended to be installed in conveyances	
Chapter 4.1	Approval of packagings as referred to in the Chapter – role of Competent Authority	
Section 5.1.5	General provisions for class 7 – role of Competent Authority	
Chapter 5.5	Determining the period between fumigant application and loading of fumigated cargo transport unit on board the ship	
Chapter 6.2	Approval of pressure receptacles, aerosol dispensers, small receptacles containing gas and fuel cell cartridges containing liquefied flammable gas – role of Competent Authority	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Section 6.2.2.6.2	General provisions – role of Competent Authority	
Section 6.3.2	Quality assurance programme – role of Competent Authority	
Section 6.3.5	Procedures for performance and frequency of tests – role of Competent Authority	
Chapter 6.4	Approval of package design and materials for class 7 – role of Competent Authority	
Section 6.5.4	Testing, certification and inspection – role of Competent Authority	
Chapter 6.6	Provisions for the construction and testing of large packagings – role of Competent Authority	
Chapter 6.7	Provisions for the design, construction, inspection and testing of portable tanks and multiple-element gas containers – role of Competent Authority	
Chapter 6.8	Provisions for road tank vehicles – role of Competent Authority	
Section 7.1.14	Stowage of goods of class 7 – role of Competent Authority	
Chapter 7.9	Exemptions, approvals and certificates – notifying IMO and recognition of approvals and certificates	
Casualty Investigation Code		
Para. 4.1	Detailed contact information of the marine safety investigation authority(ies) to IMO	
Paras. 5.1 and 5.2	Notification of a marine casualty	
Paras. 7.1 and 7.2	Agreement to conduct a marine safety investigation	
Para. 8.1	Powers provided to investigator(s)	
Para. 9.2	Coordination for parallel investigations	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 10.1	Cooperation in investigating	
Para. 11.1	Investigation not to be subject to external direction	
Paras. 13.1,13.4 and 13.5	Draft marine safety investigation reports	
Paras. 14.1 and 14.2	Marine safety investigation reports – communication to IMO	
Para. 14.4	Marine safety investigation reports – available to public and shipping industry	
IBC Code		
Para. 1.5.3	Maintenance of conditions after survey	
Para. 1.5.5.1	Issue or endorsement of International Certificate of Fitness by another Government	
BCH Code		
Para. 1.6.4.1	Issue or endorsement of certificate by another Government	
IGC Code		
Para. 1.5.5.1	Issue or endorsement of certificate by another Government	
STCW Code, part A		
Section A-I/6.1	Training and assessment of seafarers for certification	
Section A-I/6.3	Qualifications of instructors, supervisors and assessors	
Section A-I/6.7	Training and assessment within an institution	
Section A-I/7.2	Communication of information – initial communication (within one year of entry into force of regulation I/7)	

	OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES	
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Sections A-I/7.3, 7.4 and 7.5	Communication of information – subsequent reports (within the periods as referred to in paragraphs 7.3, 7.4 and 7.5)	
Sections A-I/8.1 and 8.3	National objectives and quality standards	
Section A-I/9.1	Medical standards – eyesight standards and physical and medical fitness	
Section A-1/9.4	Provisions for recognizing medical practitioners and maintenance of a register of recognized medical practitioners	
Sections A-I/9.5 and 9.6	Guidance, processes and procedures for conducting medical fitness examinations and issuing medical certificates	
Section A-I/12.1	General performance standards for simulators used in training	
Section A-I/12.2	General performance standards for simulators used in assessment of competence	
Section A-I/12.6	Simulator training objectives	
Section A-I/12.9	Qualification of instructors and assessors	
Section A-VIII/2.9	Watchkeeping at sea – directing the attention of companies, masters, chief engineer officers and watchkeeping personnel to observe the principles in parts 4-1 and 4-2	

Annex 2

SPECIFIC FLAG STATE OBLIGATIONS

The following table contains a non-exhaustive list of obligations, including those obligations imposed when a right is exercised.

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
TONNAGE 69		
Art. 6	Determination of tonnages	
Art. 7(2)	Issue of certificates	
Annex I, reg. 1(3)	Novel types of craft – determination of tonnage and communication to IMO on method used	
Annex I, reg. 5(3)(b)	Change of net tonnage – alterations or modifications deemed by the Administration to be of a major character	
Annex I, reg. 7	Measurement and calculation	
LL 66 and LL PROT 88²		
	Existing certificates	LL PROT 88 only (Art. II-2)
Art. 6(3)	Exemptions – reporting	
Art. 8(2)	Equivalentents – reporting	
Art. 9(2)	Approvals for experimental purposes – reporting	
Art. 13	Surveys and marking	amended by LL PROT 88
Art. 14	Initial, renewal and annual survey	amended by LL PROT 88
Art. 16(3)	Issue of certificates	
Art. 19	Duration and validity of certificates	amended by LL PROT 88
Art. 23	Casualties	

² When the obligation does not derive from the International Convention on Load Lines, 1966, but solely from the Protocol of 1988 relating thereto, this is indicated in the "Comments" column.

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Annex I, reg. 1	Strength of hull	
	Strength and intact stability of ships	LL PROT 88 only (Annex I, reg. 1)
Annex I, reg. 2	Application – assignment of freeboard	amended by LL PROT 88
	Authorization of recognized organizations	LL PROT 88 only (Annex I, reg. 2-1)
Annex I, reg. 8	Details of marking	
Annex I, reg. 10	Stability information – approval	amended by LL PROT 88
Annex I, reg. 12	Doors	amended by LL PROT 88
Annex I, reg. 14	Cargo and other hatchways	amended by LL PROT 88
Annex I, reg. 15	Hatchways closed by portable covers and secured weathertight by tarpaulins and battering devices	amended by LL PROT 88
Annex I, reg. 16(1)	Hatchway coamings – reduced heights	amended by LL PROT 88 (Annex I, reg. 14-1(2))
Annex I, reg. 16(4)	Securing arrangements	amended by LL PROT 88 (Annex I, reg. 16(6))
	Machinery space openings	LL PROT 88 only (Annex I, reg. 17(4))
Annex I, reg. 19	Ventilators	amended by LL PROT 88
Annex I, reg. 20	Air pipes	amended by LL PROT 88
	Cargo ports and other similar openings – applicable national standards	LL PROT 88 only (Annex I, reg. 21(5))
Annex I, reg. 22	Scuppers, inlets and discharges	amended by LL PROT 88
Annex I, reg. 25	Protection of the crew	amended by LL PROT 88
Annex I, reg. 27	Freeboards – types of ships	amended by LL PROT 88
Annex I, reg. 28	Freeboard tables	amended by LL PROT 88
Annex I, reg. 39	Minimum bow height and reserve buoyancy	amended by LL PROT 88
	Lashing system	LL PROT 88 only (Annex I, reg. 44(6))

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
COLREG 72		
Annex I, para. 14	Approval of construction of lights and shapes and the installation of lights on board	
Annex III, para. 3	Approval of construction, performance and installation of sound signal appliances on board	
STCW 78		
Art. VI	Certificates	
Art. VIII(3)	Dispensation – reporting	
Art. IX(2)	Equivalentents – reporting	
Regs. I/2.1, 2.2, 2.7 and 2.8	Issue and endorsements of certificates of competency	
Regs. I/10.1 and 10.2	Recognition of certificates and seafarers' knowledge of maritime legislation	
Reg. I/11.6	Availability – recent changes in national and international regulations	
Reg. I/13.3	Conduct of trials – safety, security and pollution prevention	
Regs. I/13.5 and 13.8.1	Results of trials – communication	
Reg. I/13.7	Respect for objections to trials	
Reg. I/13.8	Respect for objections by other Parties when authorizing ships to continue to operate with the system under trial	
Reg. I/14.1	Responsibilities of companies	
Reg. IV/1.2	Application – appropriate certificates for radio operators	
Reg. V/1-1.7	Mandatory minimum requirements for the training and qualification of masters, officers and ratings on oil and chemical tankers	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. V/1-2.5	Mandatory minimum requirements for the training and qualification of masters, officers and ratings on liquefied gas tankers	
Reg. V/2.1	Applicability of the requirements to domestic voyages	
Reg. V/2.8	Mandatory minimum requirements for the training and qualification of masters, officers, ratings and other personnel on passenger ships	
Regs. VIII/1.1 and 1.2	Fitness for duty – preventing fatigue and preventing drug and alcohol abuse	
Regs. VIII/2.1 and 2.2	Watchkeeping arrangements and principles – direction and requirements	
SOLAS 74		
Reg. I/4(b)	Exemptions – reporting	
Reg. I/5(b)	Equivalent – reporting	
Reg. I/6	Inspection and survey	in SOLAS PROT 78 and SOLAS PROT 88
Reg. I/7	Survey of passenger ships	in SOLAS PROT 88
Reg. I/8	Survey of life-saving appliances and other equipment of cargo ships	in SOLAS PROT 88
Reg. I/9	Survey of radio installations of cargo ships	in SOLAS PROT 88
Reg. I/10	Survey of structure, machinery and equipment of cargo ships	in SOLAS PROT 88
Reg. I/12	Issue of certificates	in SOLAS PROT 88
	Issue and endorsement of certificates	in SOLAS PROT 88
Reg. I/14	Duration and validity of certificates	in SOLAS PROT 88
Reg. I/15	Forms of certificates and records of equipment	in SOLAS PROT 88
Reg. I/18	Qualification of certificates	
Reg. I/21	Casualties	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. II-1/1.2	Compliance with earlier requirements	revised SOLAS chapter II-1 adopted by MSC 80 and MSC 82
Reg. II-1/3-2	Approval of corrosion prevention systems of seawater ballast tanks	
Reg. II-1/3-2.4	Maintenance of the protective coating	
Reg. II-1/3-3.2	Approval of means of access to tanker bows	
Regs. II-1/3-4.1.2.2 and 3-4.1.3	Approval of emergency towing arrangements on tankers	
Reg. II-1/3-6.2.3	Means of access to cargo and other spaces – satisfaction of the Administration as well as survey	
Reg. II-1/3-6.4.1	Approval of Ship Structure Access Manual	
Reg. II-1/3-8.3	Appropriate requirements for towing and mooring equipment	
Reg. II-1/3-9.1	Means of embarkation and disembarkation	
Reg. II-1/4.2	Alternative methodologies – communication to IMO	
Reg. II-1/4.4	Beneficial or adverse effects of fitting structures as defined by the regulation	
Reg. II-1/5-1.1	Stability information to the Administration	
Reg. II-1/7-2.5	Acceptance of equalization devices and their controls	
Reg. II-1/13.9.2	Number and arrangements of doors with a device preventing unauthorized opening	
Reg. II-1/13.11.2	Special consideration for tunnels piercing watertight bulkheads	
Reg. II-1/15.2	Arrangement and efficiency of the means for closing any opening in the shell plating	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. II-1/15.6	Special sanction for automatic ventilating sidescuttles	
Reg. II-1/15.8.5	Material of pipes as referred to in the regulation	
Reg. II-1/16.1.1	Construction and initial tests of watertight doors, sidescuttles, etc.	
Reg. II-1/16-1.1	Construction and initial tests of watertight decks, trunks, etc.	
Reg. II-1/17-1.2	Indicators for closing appliances that could lead to flooding of a special category space or ro-ro space	
Regs. II-1/19.3 and 19.4	Damage control information – general and specific precautions	
Reg. II-1/22.4	Determination as to whether watertight doors must be permitted to remain open	
Reg. II-1/26.2	Consideration of reliability of single essential propulsion components	
Regs. II-1/29.1, 29.2.1 and 29.6.3	Steering gear	
Reg. II-1/29.17.2	Adoption of regulations on rudder actuators for tankers, chemical tankers and gas carriers	
Regs. II-1/35-1.3.7.2 and 35-1.3.9	Bilge pumping arrangements	
Reg. II-1/40.2	Electrical installations – ensuring uniformity	
Reg. II-1/42.1.3	Emergency source of electrical power in passenger ships	
Reg. II-1/43.1.3	Emergency source of electrical power in cargo ships	
Reg. II-1/44.2	Approval of automatically starting emergency generating sets	
Regs. II-1/45.3.3, 45.5.3, 45.5.4, 45.9.3, 45.10, and 45.11	Precautions against shock, fire and other hazards of electrical origin	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Regs. II-1/46.2 and 46.3	Additional requirements for periodically unattended machinery space	
Reg. II-1/53.1	Special requirements for machinery, boiler and electrical installations	
Regs. II-1/55.3, 55.4.1 and 55.6	Evaluation of the alternative design and arrangements and re-evaluation due to change of conditions	
Reg. II-1/55.5	Alternative design and arrangements – communication to IMO	
Reg. II-2/1.2.1	Approval of fire protection arrangements in existing ships	
Regs. II-2/1.6.2.1.2 and 1.6.6	Application of requirements for tankers	
Reg. II-2/4.2.2.5.1	Approval of material for oil fuel pipes and their valves and fittings	
Reg. II-2/4.3	Approval of gaseous fuel systems used for domestic purposes	
Reg. II-2/4.5.1.4.4	Installation of cargo oil lines where cargo wing tanks are provided	
Reg. II-2/4.5.3.3	Requirements for safety devices in venting systems	
Reg. II-2/4.5.5.2.1	Requirements for inert gas systems on chemical tankers	
Reg. II-2/4.5.6.3	Arrangements for inerting, purging or gas-freeing	see reg. II-2/4.5.5.3.1
Reg. II-2/5.2.2.5	Positioning of controls for any required fire-extinguishing system in passenger ships	see regs. II-2/8.3.3 and 9.5.2.3
Reg. II-2/5.2.3.1	Special consideration to maintaining the fire integrity of periodically unattended machinery spaces	
Reg. II-2/7.3.2	Initial and periodic tests	
Reg. II-2/7.6	Protection of cargo spaces in passenger ships	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. II-2/8.3.4	Release of smoke from machinery spaces – passenger ships	
Reg. II-2/9.2.2.1.5.1	Approval of equivalent means of controlling and limiting a fire on ships designed for special purposes	
Reg. II-2/9.2.2.3.1	Fire integrity of bulkheads and decks in ships carrying more than 36 passengers	
Regs. II-2/9.2.2.4.4, 9.2.3.3.4 and 9.2.4.2.4	Fire integrity of bulkheads and decks	see reg. II-2/11.2
Reg. II-2/9.3.4	Approval of structural fire protection details, taking into account the risk of heat transmission	
Reg. II-2/9.5.2.4	Protection of openings in machinery space boundaries	
Reg. II-2/10.2.1.2.1.3	Provisions for fixed water fire-extinguishing arrangements for periodically unattended machinery spaces	
Reg. II-2/10.2.1.2.2.1	Ready availability of water supply	
Reg. II-2/10.2.3.1.1	Approval of non-perishable material for fire hoses	
Reg. II-2/10.2.3.2.1	Number and diameter of fire hoses	
Reg. II-2/10.3.2.1	Arrangement of fire extinguishers	
Reg. II-2/10.6.1.1	Type approval of automatic sprinkler, fire detection and fire alarm system	
Reg. II-2/10.6.3.2	Approval of fire-extinguishing arrangement for flammable liquid lockers	
Reg. II-2/10.7.1.2	Fixed gas fire-extinguishing systems for general cargo	
Reg. II-2/10.7.1.4	Issue of an Exemption Certificate	
Reg. II-2/13.3.1.4	Provision of means of escape from, or access to, radiotelegraph stations	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. II-2/13.3.2.5.1	Lighting or photoluminescent equipment to be evaluated, tested and applied in accordance with the FSS Code	
Reg. II-2/13.3.2.6.2	Normally locked doors that form part of an escape route – quick release mechanisms	
Reg. II-2/13.5.1	Means of escape on passenger ships from special category and open ro-ro spaces to which any passengers carried can have access	
Regs. II-2/17.4.1 and 17.6	Evaluation and approval of the engineering analysis for alternative design and arrangements for fire safety	
Reg. II-2/17.5	Alternative design and arrangements for fire safety – communication of information to IMO	
Reg. II-2/19.4	Provision of document of compliance	
Reg. II-2/20.4.1	Provision and approval of fixed fire detection and fire alarm systems	
Reg. II-2/20.6.1.4.2	Adverse effect as referred to in the regulation – approval of stability information	
Reg. II-2/21.5.2	Alternate space for medical care	
Reg. III/4	Evaluation, testing and approval of life-saving appliances and arrangements	
Reg. III/5	Production tests for life-saving appliances	
Reg. III/20.8.1.2	Approval of servicing stations	
Reg. III/20.8.5	Extension of liferaft service intervals – notifying IMO	
Regs. III/20.11.1 and 20.11.2	Periodic servicing of launching appliances and on-load release gear – thorough examination at the annual surveys	
Reg. III/26.2.4	Approval of liferafts on ro-ro passenger ships	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Regs. III/26.3.1 and 26.3.2	Approval of fast rescue boats and their launching appliances on ro-ro passenger ships	
Reg. III/28	Approval of helicopter landing and pick-up areas on ro-ro passenger ships	
Regs. III/38.3, 38.4.1 and 38.6	Evaluation of the alternative design and arrangements and re-evaluation due to change of conditions	
Reg. III/38.5	Alternative design and arrangements – communication to IMO	
Reg. IV/3.3	Exemptions – reporting to IMO	
Reg. IV/14.1	Type approval of radio equipment	
Reg. IV/15.5	Ensuring that radio equipment is maintained	
Reg. IV/16.1	Radio personnel	
Reg. IV/17	Radio records	
Reg. V/3.3	Exemptions and equivalents – reporting to IMO	
Reg. V/14	Ships' manning	
Reg. V/16	Maintenance of equipment	
Reg. V/17	Electromagnetic compatibility	
Reg. V/18.1	Type approval of navigational systems and equipment and voyage data recorder	
Reg. V/18.5	Requirement for quality control system at manufacturers	
Reg. V/23.3.3.1.3	Pilot transfer arrangements	
Reg. V/23.6.1	Type approval of mechanical pilot hoists	
Regs. VI/3.1 and 3.2	Provision of equipment for oxygen analysis and gas detection and training of crews in their use	
Reg. VI/5.6	Approval of Cargo Securing Manual	
Reg. VI/6	Acceptability for shipment	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. VI/9.2	Grain loading information	
Reg. VII/5	Approval of Cargo Securing Manual	
Reg. VII/15.2	Warships – INF cargo	
Reg. VIII/4	Approval of design, construction and standards of inspection and assembly of reactor installations	
Reg. VIII/6	Ensuring radiation safety	
Reg. VIII/7(a)	Approval of safety assessment	
Reg. VIII/8	Approval of operating manual	
Reg. VIII/10(f)	Issue of certificates	
Reg. IX/4.1	Issue of Document of Compliance (DOC)	
Reg. IX/4.3	Issue of Safety Management Certificate (SMC)	
Reg. IX/6.1	Periodic verification of the safety management system	
Reg. XI-1/1	Authorization of recognized organizations	
Reg. XI-1/2	Enhanced surveys	
Reg. XI-1/3.5.4	Ship identification number – approval of method of marking	
Reg. XI-1/3-1.2	Registered owner identification number	
Reg. XI-1/5.3	Issue of Continuous Synopsis Record (CSR)	
Reg. XI-1/5.4.2	Amendments to CSR	
Reg. XI-1/5.4.3	Authorization and requirement to amend CSR	
Reg. XI-1/5.8	Requirement for former flag State to send CSR to new flag State	
Reg. XI-1/5.9	Appending previous CSR to new CSR	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. XI-1/6	Investigations of marine casualties and incidents	
Reg. XII/8.1	Endorsement of booklet required by reg. VI/7.2	
Reg. XII/9.2	Approval of bilge well high water level alarms	
Reg. XII/11.3	Loading instrument – approval of software for stability calculations	
MARPOL		
Arts. 4(1) and 4(3)	Violation	
Art. 6(4)	Detection of violations and enforcement of the Convention – investigations	
Art. 12(1)	Casualties to ships – investigations	
Annex I		
Reg. 2.6.2	Application – an oil tanker delivered on or before 1 June 1982 engaged in specific trades: agreement with port States	
Reg. 3.3	Exemptions and waivers – reporting	
Reg. 4.3	Exceptions – discharge of substances containing oil for the purpose of combating pollution incidents	
Reg. 5.2	Equivalentents – reporting	
Reg. 6	Surveys	
Reg. 7	Issue or endorsement of certificate	
Reg. 10.9.3	Transfer of flag	
Reg. 12A.12	Oil fuel tank protection – approval of the design and construction of ships	
Reg. 14.3	Oil filtering equipment – volume of oil bilge holding tank	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. 14.4	Oil filtering equipment – ships of less than 400 gross tonnage	
Regs. 14.6 and 14.7	Oil filtering equipment – approval	
Reg. 15.6.2	Control of discharge of oil – ships of less than 400 gross tonnage: design approval	
Regs. 18.8.2, 18.8.3 and 18.8.4	Requirements for product carriers of 40,000 tonnes deadweight and above – arrangement and operation, approval of oil content meter, clean ballast tank operational manual	
Reg. 18.10.1.1	Segregated ballast tanks – oil tanker delivered on or before 1 June 1982 having special ballast arrangements: approval	
Reg. 18.10.1.2	Segregated ballast tanks – oil tanker delivered on or before 1 June 1982 having special ballast arrangements: agreement with port States	
Reg. 18.10.3	Segregated ballast tanks – oil tanker delivered on or before 1 June 1982 having special ballast arrangements: communication to IMO	
Reg. 20.8.1	Double hull and double bottom requirements for oil tankers delivered before 6 July 1996 – communication to IMO	
Reg. 21.8.1	Prevention of oil pollution from oil tankers carrying heavy grade oil as cargo – communication to IMO	
Reg. 23.3.1	Accidental oil outflow performance – calculation of mean oil outflow parameter	
Reg. 25.5	Hypothetical outflow of oil – information to IMO on accepted arrangements	
Reg. 27.3	Intact stability – approval of written procedures for liquid transfer operation	
Reg. 28.3.4	Subdivision and damage stability – sufficient stability during flooding	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. 29.2.1	Slop tanks – approval	
Reg. 30.6.5.2	Pumping, piping and discharge arrangement – establishment of requirements	
Reg. 30.7	Pumping, piping and discharge arrangement – positive means of loading, transporting or discharging cargo	
Regs. 31.2 and 31.4	Oil discharge monitoring and control system – approval	
Reg. 32	Oil/water interface detector – approval	
Reg. 33.1	Crude oil washing requirement – compliance with requirement	
Reg. 33.2	Crude oil washing requirements – establishment of requirements	
Reg. 35.1	Crude oil washing operations – Operations and Equipment Manual	
Reg. 36.9	Oil Record Book Part II – development of Oil Record Book for ships of less than 150 gross tonnage	
Reg. 37.1	Shipboard oil pollution emergency plan – approval	
Reg. 38.7.2	Reception facilities within special areas: Antarctic area – sufficient capacity	
Reg. 38.8	Reception facilities – notification on alleged inadequacies of port reception facilities	
Reg. 39.2.2	Special requirements for fixed or floating platforms – approval of record form	
Reg. 41.1	Approval of oil tankers' ship-to-ship (STS) operations plan	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Annex II		
Reg. 3.1.3	Exceptions – approval of discharge of NLS for the purpose of combating pollution incidents	
Reg. 4.1.2	Exemptions – communication to IMO on relaxations	
Reg. 4.3.4	Exemptions – communication to IMO	
Reg. 4.4.5	Exemptions – communication to IMO	
Reg. 5.1	Equivalents – substitution of operational method	
Reg. 5.2	Equivalents – communication to IMO on alternatives	
Regs. 5.3.4 and 5.3.5	Equivalents – pumping and piping arrangement, approval of manual	
Reg. 6.3	Establishment of tripartite agreements – notifying IMO	
Reg. 8	Surveys	
Reg. 9	Issue or endorsement of certificates	
Reg. 10.7	Expiry date of existing certificate	
Reg. 10.9.3	Transfer of flag	
Reg. 11.2	Design, construction, equipment and operations – establishment of appropriate measures	
Reg. 12.5	Pumping, piping, unloading arrangements and slop tanks – approval of pumping performance test	
Reg. 13.3	Control of discharges of residues of NLS – approval of ventilation procedure	
Reg. 13.5	Control of discharges of residues of NLS – approval of tank washing procedure	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. 14.1	Procedures and arrangements manual – approval	
Reg. 17.1	Shipboard marine pollution emergency plan for NLS – approval	
Reg. 18.5	Notification of alleged inadequacies of port reception facilities	
Annex IV		
Reg. 4	Surveys	
Reg. 5	Issue or endorsement of certificates	
Reg. 8.8.2	Transfer of flag	
Reg. 9.1	Approval of sewage systems	
Reg. 9.2	Approval of sewage systems (passenger ships operating in special areas)	
Reg. 11.1.1	Approval of rate of discharge	
Reg. 12.2	Notification of alleged inadequacies of port reception facilities	
Annex V		
Reg. 6.3.2	Sufficient capacity for the retention of all garbage on board ships before entering the Antarctic area	
Reg. 8.2	Notification of alleged inadequacies of port reception facilities	
Annex VI		
Regs. 3.2 and 3.3.2	Exceptions and exemptions	
Regs. 4.2 and 4.4	Equivalents and communication to IMO	
Reg. 5	Surveys and certification	Addition related to IEEC by MEPC.203(62)
Reg. 6	Issue or endorsement of certificates	Addition related to IEEC by MEPC.203(62)

SPECIFIC FLAG STATE OBLIGATIONS			
SOURCE	SUMMARY DESCRIPTION	COMMENTS	
Regs. 9.1 and 9.10	Duration and validity of certificates	Addition related to IEEC by MEPC.203(62)	
Reg. 9.9.3	Transfer of flag		
Reg. 11.4	Detection of violations and enforcement – investigations and communication to the Party and IMO		
Reg. 12.6	Ozone-depleting substances record book – approval of alternative forms of record-keeping		
Regs. 13.1.1.2 and 13.1.2.2	Nitrogen oxides – acceptance of identical replacement and alternative control measures		
Reg. 13.2.2	Acceptance of installation of Tier-II engine in lieu of Tier-III engine where Tier-III engine cannot be accommodated		
Reg. 13.5.2.2	Combined nameplate diesel engine – application as referred to in the paragraph		
Reg. 13.7.2	Approved method not commercially available		
Reg. 14.6	Sulphur oxides – prescription of logbook		
Reg. 15.5	Volatile organic compounds – approval of vapour collection systems		
Reg. 15.6	VOC management plan – approval		
Reg. 16.6.1	Shipboard incineration – approvals		
Reg. 19.6	Information regarding decision to allow, suspend, withdraw or decline application of reg. 19.4 on waiving the requirement for compliance with regs. 20 and 21 – communication to the Organization		Refer to Reg. 19.4
Reg. 23	Cooperation with other parties to promote development and transfer of technology and exchange of information relating to the improvement of energy efficiency of ships		
Appendix IV, para. 1	Type approval as referred to in the paragraph		

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Appendix VI, paras. 1.2, 2.1 and 3.1	Fuel verification procedure – management and sample delivery	
Res. MSC.133(76), as amended Para. 3.7 Para. 3.9.7	Technical provisions for means of access for inspections Vertical or spiral ladders – acceptance Other means of access – approval and acceptance	
Res. A.739(18), as amended Para. 2 Para. 3	Guidelines for the authorization of organizations acting on behalf of the Administration Assignment of authority Verification and monitoring	
ISM Code Para. 13.2 Para. 13.4 Para. 13.5 Para. 13.7 Para. 13.8 Para. 13.9 Para. 14.1 Para. 14.2 Para. 14.4 Para. 15.1 Para. 16	Issue of DOC Annual verification (DOC) Withdrawal of DOC Issue of SMC Intermediate verification (SMC) Withdrawal of SMC Issue of Interim DOC Issue of Interim SMC Verification required for issue of Interim SMC Verification – acceptance of procedures Forms of certificates	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
INF Code		
Para. 1.3.2	Issue of certificate	
Para. 2.1	Damage stability (INF 1 ship)	
Para. 3.1	Fire safety measures (INF 1 cargo)	
Para. 4.1.3	Temperature control of cargo spaces (INF 1, 2 and 3 ships)	
Para. 6.2	Safe stowage and securing – approval of principles	
Para. 7.1	Electrical power supplies (INF 1 ship)	
Chapter 8	Radiological protection	
Chapter 9	Management and training	
Para. 10.2	Shipboard emergency plan – approval	
FSS Code		
Para. 1/4	Use of toxic extinguishing media	
Para. 4/2	Type approval of fire extinguishers	
Para. 4/3.1.1.2	Determining equivalents of fire extinguishers	
Para. 4/3.2.2.2	Approval of foam concentrate	
Para. 5/2.1.1.4	Containers for the storage of fire-extinguishing medium, etc.	
Para. 5/2.1.2.1	System flow calculations	
Para. 5/2.1.2.3	Spare parts	
Para. 5/2.3	Steam systems	
Para. 5/2.5	Equivalent systems – approval	
Paras. 6/2.2.1.1 and 6/2.3.1.1	Foam concentrates – approval	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 7/2.1	Fixed pressure water-spraying fire-extinguishing systems – approval	
Para. 7/2.2	Equivalent systems – approval	
Para. 7/2.3	Fixed pressure water-spraying fire-extinguishing systems for cabin balconies – approval	
Para. 8/2.1.2	Equivalent sprinkler systems – approval	
Para. 9/2.3.1.2	Sensitivity limits of smoke detectors in other spaces	
Para. 9/2.3.1.3	Heat detector temperature limits	
Para. 9/2.3.1.7	Fixed fire detection and fire alarm systems for cabin balconies – approval	
Para. 9/2.4.1.3	Limiting the number of enclosed spaces included in each section	
Para. 9/2.5.2	Testing on ships with self-diagnostic system – determination of requirements	
Para. 10/2.1.2	Sequential scanning – overall response time	
Para. 10/2.2.2	Extractor fans – overall response time	
Para. 10/2.3.1.1	Means to isolate smoke accumulators	
Para. 11/2.1	Low-location lighting – approval	
Para. 14/2.2.1.2	Medium expansion ratio foam – application rate, etc.	
Para. 15/2.1.2	Inert gas systems – approval	
Para. 15/2.2.4.6	Adequate reserve of water	
2010 FTP Code		
Para. 4.2	Recognition of testing laboratories	
Paras. 5.1.1 and 5.1.2	Approval of products in accordance with established approval procedures or authorization of competent authorities to issue approvals	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 5.2.2	Requirement for manufacturers to have a quality control system audited by a competent authority, or, alternatively, use of final product verification procedures as referred to in the paragraph	
Para. 7.2	Use of equivalents and modern technology – communication of information to the Organization	
Annex 1, part 3/3.3	Structural core of a material other than steel or aluminium alloy – decision on limits for rise in temperature	
Annex 1, part 3 appendix 1, para. 2.3.2.9	Insulation system of "A" class door – approval to the same standard as that which the door is intended to achieve	
LSA Code		
Para. 1.2.3	Determine the period of acceptability of LSAs subject to deterioration with age	
Para. 4.4.1.2	Endorsement of lifeboat affixed approval plate	
Para. 4.5.4	Fixed two-way VHF radiotelephone apparatus – sheltered space	
Para. 5.1.1.4	Rescue boats – combination of rigid and inflatable construction	
Para. 5.1.3.8	Rubbing strips on inflated rescue boats	
Paras. 6.1.2.9 and 6.1.2.10	Lowering speed of a fully equipped liferaft	
Para. 6.2.1.2	MES – strength and construction of passage and platform	
Para. 7.2.2.1	Broadcast of messages from other places on board	
1994 HSC Code		
Para. 1.3.5	Verification	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 1.4.29	Determination of "maximum operational weight"	
Para. 1.5.1.2	Specifying intervals for renewal surveys	
Para. 1.5.4	Inspection and survey	
Para. 1.5.5	Recognized organizations and nominated surveyors	
Para. 1.5.7	Completeness of survey and inspection	
Para. 1.8.1	Issue/endorsement of certificate	
Para. 1.9.2	Issue of permit to operate	
Para. 1.11.2	Equivalentents – reporting	
Para. 1.12.1	Adequate information and guidance provided to the craft by the company	
Paras. 1.13.2 and 1.13.3	Novel designs	
Para. 1.14.1	Investigation reports to IMO	
Paras. 2.7.4 and 2.14.2	Inclining and stability information – approval	
Para. 3.4	Determination of service life	
Para. 3.5	Design criteria	
Para. 4.8.3	Documentation and verification of evacuation time	
Para. 7.5.6.3	Safe outlets for exhaust fans in fuel tank spaces	
Para. 7.7.2.3.2	Sensitivity limits of smoke detectors	
Para. 7.7.6.1.5	Additional quantity of fire-extinguishing medium	
Para. 7.7.6.1.12	Containers for the storage of fire-extinguishing medium, etc. – design	
Para. 7.7.8.5	Maximum length of fire hoses	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 8.1	Approval and acceptance of LSA and arrangements	
Para. 8.9.1.2	Approval of novel life-saving appliances or arrangements	
Para. 8.9.1.3	Notifying the Organization	
Para. 8.9.7.1.2	Approval of servicing stations	
Para. 8.9.7.2	Deployment intervals of MES	
Para. 8.9.11	Novel life-saving appliances or arrangements	
Para. 8.9.12	Notifying the Organization	
Para. 10.2.4.9	Flexible oil fuel pipes	
Para. 10.3.7	Internal diameters of suction branches	
Para. 12.6.2	Specified voltages to earth	
Para. 13.1.2	Navigational equipment and its installation	
Para. 13.13	Approval of systems, equipment and performance standards	
Para. 14.3.3	Exemptions – reporting	
Para. 14.13.1	Type approval	
Para. 14.14.5	Ensuring maintenance	
Para. 14.15	Radio personnel	
Para. 14.16	Radio records	
Para. 15.3.1	Operating station – field of vision	
Para. 15.7.2	Ensuring clear view through windows	
Para. 17.8	Acceleration and deceleration	
Para. 18.1.4	Determining maximum allowable distance from a base port or place of refuge	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 18.2	Craft documentation	
Paras. 18.3.1 to 18.3.7	Training and qualifications	
Chapter 19	Inspection and maintenance requirements	
2000 HSC Code		
Para. 1.3.7	Verification	
Para. 1.4.37	Determination of "maximum operational weight"	
Para. 1.5.1.2	Specifying intervals for renewal surveys	
Para. 1.5.4	Inspection and survey	
Para. 1.5.5	Recognized organizations and nominated surveyors	
Para. 1.5.7	Completeness of survey and inspection	
Para. 1.7.3	Investigation to determine the need of survey	
Para. 1.8.1	Issue/endorsement of certificate	
Para. 1.9.1.1.4	Transit voyage – arrangements satisfactory	
Para. 1.9.2	Issue of permit to operate	
Para. 1.9.7	Worst intended conditions and operational limitations	
Para. 1.11.2	Equivalentents – reporting	
Para. 1.12.1	Adequate information and guidance provided to the craft by the company	
Paras. 1.13.2 and 1.13.3	Novel designs	
Para. 1.14.1	Investigation reports to IMO	
Para. 2.9.3	Verification of load line marks	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Paras. 2.7.5 and 2.14.2	Inclining and stability information – approval	
Para. 3.4	Determination of service life	
Para. 3.5	Design criteria	
Para. 4.2.2	Approval of public address system	
Para. 4.8.3	Documentation and verification of evacuation time	
Para. 4.8.10	Evacuation demonstration	
Para. 7.3.3	Approval of structural fire protection details	
Para. 7.5.6.3	Safe outlets for exhaust fans in fuel tank spaces	
Para. 7.7.1.1.8	Limitation of number of enclosed spaces in each section	
Para. 7.7.1.3.2	Sensitivity limits of smoke detectors	
Para. 7.7.3.3.6	Additional quantity of fire-extinguishing medium	
Para. 7.17.1	Reduced requirements for cargo craft of less than 500 GT	
Para. 7.17.3.1.5	Water spray system – approval	
Para. 7.17.3.3	Smoke detection systems – equivalent protection	
Para. 7.17.4	Issue of Document of Compliance for craft carrying dangerous goods	
Para. 8.1	Approval and acceptance of LSA and arrangements	
Para. 8.9.7.1.2	Approval of servicing stations	
Para. 8.9.8	Rotational deployment of marine evacuation systems	
Para. 8.9.11	Extension of liferaft service intervals – notification	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 8.11	Helicopter pick-up areas – approval	
Para. 10.2.4.9	Flexible oil fuel pipes	
Para. 10.3.7	Internal diameters of suction branches	
Para. 12.6.2	Specified voltages to earth	
Para. 13.1.2	Shipborne navigational systems and equipment and voyage data recorders and their installation	
Para. 13.17	Type approval	
Para. 14.3.3	Exemptions – reporting	
Para. 14.4.2	GMDSS Identities – suitable arrangements	
Para. 14.14.1	Type approval	
Para. 14.15.5	Ensuring maintenance	
Para. 14.16	Radio personnel	
Para. 14.17	Radio records	
Para. 15.3.1	Operating station – field of vision	
Para. 15.7.2	Ensuring clear view through windows	
Para. 17.8	Acceleration and deceleration	
Para. 18.1.4	Determining maximum allowable distance from a base port or place of refuge	
Para. 18.2	Craft documentation	
Paras. 18.3.1 to 18.3.7	Training and qualifications	
Chapter 19	Inspection and maintenance requirements	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Res. A.744(18), as amended	Guidelines on the enhanced programme of inspections during surveys of bulk carriers and oil tankers	
Annex A – Bulk carriers		
Part A – Single-side skin bulk carriers		
Para. 1.3.1	Repair of damage affecting the ship's structural, watertight or weathertight integrity	
Para. 1.3.2	Corrosion or structural defects impairing the ship's fitness	
Para. 3.3.4	Repairs of cargo hatch securing system	
Para. 5.1.1	Survey programme	
Para. 5.1.4	Maximum acceptable structural corrosion diminution levels	
Para. 5.2.1.1	Provisions for proper and safe access	
Para. 6.2.2	Survey report file	
Para. 8.1.2	Evaluation of survey report	
Para. 8.2.3	Condition evaluation report	
Annex 4B, para. 1	Survey planning questionnaire	
Annex 5, para. 3.1	Certification of thickness measurement	
Annex 9, para. 2.3	Technical assessment in conjunction with the planning of enhanced surveys for bulk carriers	
Annex 13, para. 3	Cargo hatch cover securing arrangements	
Part B – Double-side skin bulk carriers		
Para. 1.3.1	Repair of damage affecting the ship's structural, watertight or weathertight integrity	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 1.3.2	Corrosion or structural defects impairing the ship's fitness	
Para. 3.3.4	Cargo hatch cover securing system	
Para. 5.1.1	Survey programme	
Para. 5.1.5	Maximum acceptable structural corrosion diminution levels	
Para. 5.2.2	Provisions for proper and safe access	
Para. 6.2.2	Survey report file retained in the Administration office	
Paras. 8.1.2 and 8.2.3	Evaluation of survey report	
Annex 4B	Survey planning questionnaire	
Annex 5, para. 3.1	Certification of a company engaged in thickness measurement	
Annex 9, para. 2.3	Technical assessment in conjunction with the planning of enhanced surveys for bulk carriers	
Annex 11, para. 3	Materials and welding	
Annex B – Oil tankers		
Part A – Double hull oil tankers		
Para. 1.3.1	Repair of damage affecting the ship's structural, watertight or weathertight integrity	
Para. 1.3.2	Corrosion or structural defects impairing the ship's fitness	
Para. 2.4.3.2	Approval of corrosion prevention system	
Para. 5.1.1	Survey programme	
Para. 5.1.4	Maximum acceptable structural corrosion diminution levels	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 5.2.1.1	Provisions for proper and safe access	
Para. 6.2.2	Survey report file	
Para. 8.1.3	Evaluation of survey report	
Para. 8.2.3	Condition evaluation report	
Annex 6B	Survey planning questionnaire	
Annex 7, para. 3.1	Certification of thickness measurement	
Annex 9	Diminution limits of structural members	
Annex 11, para. 2.3	Technical assessment in conjunction with the planning of enhanced surveys for oil tankers	
Annex 12	Criteria for longitudinal strength of hull girder for oil tankers	
Part B – Oil tankers other than double hull oil tankers		
Para. 1.3.1	Repair of damage affecting the ship's structural, watertight or weathertight integrity	
Para. 1.3.2	Corrosion or structural defects impairing the ship's fitness	
Para. 2.4.3.2	Approval of corrosion prevention system	
Para. 5.1.1	Survey programme	
Para. 5.1.4	Maximum acceptable structural corrosion diminution levels	
Para. 5.2.1.1	Provisions for proper and safe access	
Para. 6.2.2	Survey report file	
Para. 8.1.3	Evaluation of survey report	
Para. 8.2.3	Condition evaluation report	
Annex 6B	Survey planning questionnaire	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Annex 7, para. 3.1	Certification of thickness measurement	
Annex 9	Diminution limits of structural members	
Annex 11, para. 2.3	Technical assessment in conjunction with the planning of enhanced surveys for oil tankers	
Annex 12	Criteria for longitudinal strength of hull girder for oil tankers	
Res. 4 of the 1997 SOLAS Conference		
Section 5	Dimension and selection of weld connections and materials	
Res. MSC.168(79)	Standards and criteria for side structures of bulk carriers of single-side skin construction	
Para. 2.1	Applicable national standards	
Para. 4.4	Applicable national standards	
Para. 4.5	Applicable national standards	
NO_x Technical Code 2008		
Chapter 1	Assumption of full responsibility for the approval of documentation as required by the Code together with the acceptance of procedures and alternatives as permitted by the Code	
Chapter 2	Issue of the Engine International Air Pollution Prevention Certificate, arrangements for the parent engine test and pre-certification of engines, usage of the engine family/engine group concepts and approval of the technical file and any subsequent amendments	
Chapter 2, para. 2.2.5.1	Approval and pre-certification in the case referred to in the paragraph	
Chapter 3	Acceptance of modification of engine speed at E2 test cycle 25% power mode point	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Chapter 4	Assignment of engine family/engine group status, as applicable, and selection of associated parent engine; acceptance of conformity of production arrangements; adjustment of parent engine relative to engine group reference values	
Chapter 5	Ensuring that the parent engine test and subsequent calculations are undertaken in accordance with Code requirements and that, where alternatives are applied, these meet the Code's equivalency requirements and any deviations are within the permitted margins; filing of parent engine test report	
Chapter 6	Onboard NO _x verification procedures are in accordance with the provisions of the Code and are adequate to provide verification that an engine, as so surveyed, will be in accordance with the applicable Annex VI requirements; acceptance of aspects within onboard NO _x verification procedure – simplified measurement method if applicable; approval of aspects within onboard NO _x verification procedure – direct measurement and monitoring method including the onboard monitoring manual, if applicable	
Chapter 7	Installation of approved method – amendment of IAPP Certificate	
Appendix IV	Verification that the calibration of all necessary measurement equipment meets Code requirements	
Appendix VII	Aspects to be included within onboard NO _x verification procedure – parameter check method	
Appendix VIII	Approval of alternative exhaust gas measurement principles	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
IBC CODE		
Para. 1.1.6	Prescribe preliminary suitable conditions for carriage of products not listed in chapter 17 or 18	
Para. 1.4.2	Equivalents – communication to IMO	
Section 1.5	Survey and certification	
Para. 2.2.2	Intact stability in all seagoing conditions	
Para. 2.2.3	Free surface effect in undamaged compartments	
Para. 2.4	Conditions of loading	
Para. 2.8.1.6	Standard of damage	
Para. 2.8.2	Standard of damage – alternative measures	
Para. 2.9.2.3	Residual stability during intermediate stages of flooding	
Para. 3.4.4	Access to spaces in the cargo area	
Para. 3.7.3.5	Alternative arrangements for draining the piping	
Para. 3.7.4	Relaxation for small ships	
Para. 5.1.6.4	Dimensions for flanges not complying with the standards	
Para. 5.2.2	Piping fabrication and joining details	
Para. 7.1.1	Cargo temperature control – general	
Para. 8.3.6	Devices to prevent the passage of flames into cargo tanks – requirements for the design, testing and locating	
Para. 10.1.3	Electrical installations – appropriate steps for uniform implementation	
Para. 10.1.4	Electric equipment, cables and wiring that do not conform to the standard	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 10.1.5	Electrical equipment in hazardous locations	
Para. 11.2.2	Approval of an appropriate fire-extinguishing system	
Para. 11.3.2	Cargo area – additional arrangements	
Para. 11.3.5.3	Cargo area – minimum capacity of monitor	
Para. 11.3.7	Minimum capacity of foam monitor for ships less than 4,000 tonnes deadweight	
Para. 11.3.13	Alternative provisions to deck foam system	
Para. 13.2.3	Exemption of toxic-vapour-detection equipment	
Para. 14.1.2	Protective equipment	
Chapter 15	Approval of special requirements for specific chemicals	
Para. 16.2.2	Cargo information – independent expert	
Para. 16.5.1	Stowage of cargo samples – approval	
Para. 18.2	Safety requirements – list of products to which the Code does not apply	
BCH CODE		
Para. 1.5.2	Equivalentents – communication to IMO	
Section 1.6	Survey requirements	
Section 1.8	New products – establishing suitable conditions – notifying IMO	
Para. 2.2.4	Determination of the ability to survive flooding of the machinery space in Type 3 below 125 m in length	
Para. 2.2.5	Nature of alternative measures prescribed for small ships – duly noted on certificate	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 2.9.5 Section 2.10	Access to void spaces, cargo tanks, etc. – approval of smaller dimensions in special circumstances Cargo piping systems – setting standards	
Section 2.12 Para. 2.14.2 Para. 2.15.1 Para. 3.1.2(f) Para. 3.14.1 Para. 3.14.2 Para. 3.14.7 Para. 3.15.2 Para. 3.15.5 Chapter IV	Cargo hoses – setting standards High-velocity vent valves – type approval Cargo heating and cooling systems Ventilation fans – approval Alternative provisions for ships dedicated to the carriage of specific cargoes Additional arrangements when foam is not effective or is incompatible Foam monitors on ships of less than 4,000 tonnes deadweight – minimum capacity Protection of cargo pump-rooms with fire-extinguishing systems – approval Products evolving flammable vapours – fire-extinguishing systems – approval Approval of special requirements for specific chemicals	
IGC CODE Para. 1.1.6 Para. 1.4.2 Section 1.5 Para. 2.2.2 Para. 2.2.3 Para. 2.3.3 Para. 2.4	Establishment of preliminary suitable conditions of carriage and notification Equivalentents – reporting Surveys and certification Stability standard – acceptance Method to calculate free surface effect – acceptance Automatic non-return valves – acceptance Damage survival capability investigation	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 2.8.2	Alternative measures – approval	
Para. 2.9.1.3	Residual stability during intermediate stages of flooding	
Para. 3.5.3.2	Decreased clear opening in the cargo area	
Section 3.8	Bow or stern loading and unloading arrangements – approval	
Para. 4.2.7	Design temperature	
Paras. 4.4.2.5 and 4.4.4.1	Structural analysis of the hull	
Paras. 4.4.6.1.1, 4.4.6.2.1 and 4.4.6.3.2	Setting standards	
Para. 4.4.7.2.1	Three-dimensional structural analysis	
Para. 4.4.7.3	Analysis	
Para. 4.5.1.11	Allowable stresses – approval	
Para. 4.7.3	Secondary barriers for non-basic tank types	
Para. 4.7.7	Checking method – approval	
Para. 4.8.4.4	Design and construction of the heating system	
Para. 4.9.8	Insulation materials	
Para. 4.10.1.2.2	Bevel preparation, etc. – acceptance and approval	
Para. 4.10.2	Workmanship	
Para. 4.10.5.2	Quality control specifications	
Para. 4.10.6	Integral tank-testing	
Para. 4.10.8.3	Tightness test	
Para. 4.10.9	Type C independent tanks – inspection and NDT	
Para. 4.10.10.3.7	Consideration of pneumatic testing	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 4.11.1	Soaking temperature and holding times	
Para. 4.11.2	Alternative to heat treatment – approval	
Paras. 5.2.4.4 and 5.2.4.5	Flanges, valves and other fittings	
Para. 5.4.2.2	Dimensions	
Para. 5.4.2.3	Screwed couplings – acceptance	
Para. 5.5.2	Cargo and process piping – alternative testing approval	
Para. 6.1.5	Tensile strength, yield stress and elongation	
Para. 6.3.7.4	Schedule for inspection and NDT	
Section 7.1	Cargo pressure/temperature control	
Paras. 8.2.2, 8.2.5 and 8.2.7	Pressure relief devices	
Para. 9.5.2	A means of preventing the backflow of cargo	
Para. 10.1.5	Electrical equipment installation	
Para. 11.4.1	Dry chemical powder fire-extinguishing system	
Para. 11.5.2	Approval of appropriate fire-extinguishing system for cargo compressor and pump-rooms	
Para. 13.5.4	Number and position of temperature indicating devices	
Para. 13.6.1	Gas detector equipment	
Para. 13.6.13	Portable gas detection equipment	
Para. 14.4.5	Provision of space to protect personnel	
Section 15.2	Maximum allowable loading limits – approval of list	
Para. 16.5.2	Forced draught system for boilers	
Para. 16.5.6	Purging of combustion chambers of boilers	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 17.14.2.1	Non-acceptance of cargo discharge compressors on board	
Para. 17.20.3.1	Valves, flanges, fittings and accessory equipment material – acceptance	
Para. 17.20.13.2	Cargo handling plans – approval	
Para. 17.20.14	Maximum allowable tank filling limits – approval of list	
STCW Code, part A		
Section A-I/10.2	Withdrawal of endorsement of recognition – communication to the Party that issued the certificate	
Section A-II/4.4	Determining the requirements of training, assessment and certification where there are no tables of competence for the support level	
Section A-III/4.4	Determining the requirements of training, assessment and certification where there are no tables of competence for the support level	
Section A-VIII/1.1	Fitness of duty – consideration of the danger posed by fatigue of seafarers	
Section A-VIII/1.5	Requirement for watch schedules to be posted in a standardized form	
Section A-VIII/1.7	Requirement for maintaining records of daily hours of rest of seafarers	
Section A-VIII/1.10	Establishment of a limit of alcohol concentration for personnel performing designated duties	
Section A-VIII/2.84	Principles to be observed in keeping radio watch – directing the attention of companies, masters and radio watchkeeping personnel to comply with provisions of part 4-3 to ensure that an adequate safety radio watch is maintained while the ship is at sea	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Res. MEPC.94(46), as amended	Condition assessment scheme	
Para. 4.1	Issue of instructions to the recognized organization (RO) for Condition Assessment Scheme (CAS) survey	
Para. 4.3	Requirement for oil tankers to remain out of service until Statement of Compliance is issued	
Para. 7.1.3	CAS surveyors' requirements	
Para. 11	Verification of CAS	
Para. 12	Reassessment of ships that have failed	
Para. 13	Issue, suspension or withdrawal of Statement of Compliance	
Para. 14	Communication to IMO	
Res. MSC.215(82)	Performance standard for protective coatings for dedicated seawater ballast tanks in all types of ships and double-side skin spaces of bulk carriers	
Para. 3.2	Inspection of surface preparation and coating processes	
Para. 3.4.1	Coating technical file	
Para. 4.4.3	Technical Data Sheet and Statement of Compliance or Type Approval Certificate – verification	
Section 5	Coating system approval	
Para. 6.1.1	Verification of equivalent qualification of coating inspector	
Section 7	Verification requirements	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Res. MSC.288(87)	Performance standard for protective coatings for cargo oil tanks of crude oil tankers	
Para. 3.2	Inspection of surface preparation and coating processes – review	
Para. 4.6.3	Verification of the Technical Data Sheet and Statement of Compliance or Type Approval Certificate for the protective coating system	
Para. 6.1.1	Equivalent to NACE Coating Inspector Level 2 and FROSIO Inspector Level III – verification	
Para. 7	Coating verification requirement as referred to in paragraph 7	
Res. MSC.289(87)	Performance standard for alternative means of corrosion protection for cargo oil tanks of crude oil tankers	
Para. 2.2	Verification of Technical File	
Para. 4.2	Issuance of Type Approval Certificate for corrosion-resistant steel	
Para. 5	Survey(s) during the construction process to verify that approved corrosion-resistant steel has been applied to the area required	
Casualty Investigation Code		
Para. 1/1.3	Qualified person(s) for investigation	
Para. 6/6.2	Investigation into a very serious marine casualty	
IS Code, 2008	International Code on Intact Stability, 2008	
Part A, Ch. 1.2	Criteria demonstrating sufficient ship stability in critical stability situation in waves	
Part A, Ch. 2.1.3	Stability criteria where anti-rolling devices are installed	
Part A, Ch. 2.3	Severe wind and stability criterion	
Part A, Ch. 3	Special criteria for certain types of ships	

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
IMSBC Code	International Maritime Solid Bulk Cargoes Code	
Section 1.3	Conditions for the carriage of cargoes not listed in the Code	
Section 1.5	Exemptions	
Para. 7.3.2.2	Approval of specially constructed cargo ships	
Para. 7.3.2.3	Approval of plan of special arrangements and details of the stability conditions on which the design has been based	
Appendix 1, Schedule for Aluminium Ferrosilicon Powder, UN 1395, and Aluminium Silicon Powder, Uncoated, UN 1398	Inspection and approval of gastight bulkheads between cargo spaces and engine-room	
Appendix 1, Schedule for Ferrosilicon, UN 1408, and Ferrosilicon	Inspection and approval of gastight bulkheads between cargo spaces and engine-room and approval of safety of bilge pumping arrangement	

Annex 3

SPECIFIC COASTAL STATE OBLIGATIONS

The following table contains a non-exhaustive list of obligations, including those obligations imposed when a right is exercised.

SPECIFIC COASTAL STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
SOLAS 74		
Reg. V/4	Navigation warnings	
Reg. V/7.1	Search and rescue services – necessary arrangements	
Reg. V/7.2	Search and rescue services – information to IMO	
Reg. V/8	Life-saving signals	
Reg. V/9	Hydrographic services	
Regs. VII/6.1 and 7-4.1	Reporting of incidents involving dangerous goods	
MARPOL		
Annex I		
Reg. 4.3	Exceptions – discharge of substances containing oil for the purpose of combating pollution incidents	
Annex II		
Reg. 3.1.3	Exceptions – approval of discharge of NLS for the purpose of combating pollution incidents	
Reg. 13.2.3	Control of discharges of residues of NLS – agreement and communication to IMO	

Annex 4

SPECIFIC PORT STATE OBLIGATIONS

The following table contains a non-exhaustive list of obligations, including those obligations imposed when a right is exercised.

SPECIFIC PORT STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
TONNAGE 69		
Art. 12	Inspection	
LL 66 AND LL PROT 88		
Art. 21	Control	amended by LL PROT 88
STCW 78		
Art. X	Control	
Reg. I/4	Control procedures	
SOLAS 74		
Reg. I/6(c)	Ships not allowed to sail	
Reg. I/19	Control	
Reg. VII/7-2.2	Documents relating to carriage of dangerous goods in solid form	
Reg. VIII/11	Special control for nuclear ships	
Reg. XI-1/4	Port State control on operational requirements	
MARPOL		
Art. 5(2)	Certificates and special rules on inspection of ships – port State control	
Art. 5(3)	Certificates and special rules on inspection of ships – denial of entry	
Art. 6(2)	Detection of violations and enforcement of the Convention – inspection	

SPECIFIC PORT STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Art. 6(5)	Detection of violations and enforcement of the Convention – inspection upon request – reporting	
Annex I		
Reg. 2.6.2	Application – an oil tanker delivered on or before 1 June 1982 engaged in specific trades: agreement with flag States	
Reg. 2.6.3	Application – an oil tanker delivered on or before 1 June 1982, engaged in specific trades: approval by port States	
Reg. 11	Port State control on operational requirements	
Reg. 17.7	Oil Record Book, Part I – inspection without undue delay	
Reg. 18.10.1.2	Segregated ballast tanks – oil tanker delivered on or before 1 June 1982 having special ballast arrangements: agreement with flag States	
Reg. 20.8.2	Denial of entry – communication to IMO	
Reg. 21.8.2	Denial of entry – communication to IMO	
Reg. 36.8	Oil Record Book, Part II – inspection without undue delay	
Regs. 38.1, 38.2 and 38.3	Reception facilities outside special areas	
Regs. 38.4 and 38.5	Reception facilities within special areas	
Reg. 38.6	Reception facilities within special areas – notifying IMO	
Reg. 38.7.1	Reception facilities within special areas: "Antarctic area"	
Annex II		
Reg. 4.3.3	Exemptions – approval of adequacy of reception facilities	
Reg. 13.6.1	Control of discharges of residues – endorsement of cargo record book	
Reg. 15.6	Cargo record book – inspection without undue delay	

SPECIFIC PORT STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Reg. 16.1	Measures of control	
Regs. 16.6 and 16.7	Measures of control – exemption granted (endorsement of cargo record book)	
Reg. 16.9	Port State control on operational requirement	
Regs. 18.1 and 18.2	Reception facilities and cargo unloading terminal arrangements	
Reg. 18.4	Cargo unloading terminal arrangements	
Annex III		
Reg. 8	Port State control on operational requirements	
Annex IV		
Reg. 12.1	Provision of reception facilities	
Reg. 12bis.1	Provision of reception facilities for passenger ships in special areas	
Reg. 12bis.2	Measures taken regarding reception facilities for passenger ships in special areas – notifying the Organization	
Reg. 13	Port State control on operational requirements	
Annex V		
Reg. 6.3.1	Provision of reception facilities – all garbage from all ships departing en route to or arriving from the Antarctic area	
Reg. 8.1	Reception facilities	
Reg. 8.3.1	Reception facilities within special areas	
Reg. 8.3.2	Measures taken regarding provision of reception facilities – notifying the Organization	
Reg. 9	Port State control on operational requirements	
Reg.10.5	Inspection of Garbage Record Book or ship's official log-book	

SPECIFIC PORT STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Annex VI		
Reg. 5.3.3	Necessary assistance to the surveyor as referred to in the paragraph	
Reg. 10	Port State control on operational requirements – in relation to chapter 4 of Annex VI, limiting of inspection to verification that there is a valid International Energy Efficiency Certificate on board	
Regs. 15.2 and 15.3	Volatile organic compounds – approvals of vapour emission control systems and notifying IMO	
Reg. 17.2	Reception facilities as referred to in the paragraph – communication to IMO	
Reg. 18.10	Fuel oil quality – communication to Party or non-Parties and remedial action	
IBC Code		
Para. 15.8.25.3	Certification verifying that the required piping separation has been achieved	
1994 HSC Code		
Para. 1.3.5	Acceptance of the Code	
Para. 1.5.6	Providing assistance to surveyors	
Para. 1.6	Design approval	
Para. 1.9.3	Operational conditions – Permit to Operate	
Para. 1.9.4	Port State control	
Para. 18.3.8	Training and qualifications	
2000 HSC Code		
Para. 1.3.7	Acceptance of the Code	
Para. 1.5.6	Providing assistance to surveyors	
Para. 1.6	Design approval	
Para. 1.9.3	Operational conditions – Permit to Operate	
Para. 1.9.4	Port State control	

SPECIFIC PORT STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
Para. 18.3.8	Training and qualifications	
Grain Code		
Para. 3.4	Document of authorization	
Para. 3.5	Document of authorization	
Para. 5	Exemptions for certain voyages	
Para. 7.2	Stability requirements	
IMSBC Code	International Maritime Solid Bulk Cargoes Code	
Section 1.3	Conditions for the carriage of cargoes not listed in the Code	
Section 1.5	Exemptions	

Annex 5

INSTRUMENTS MADE MANDATORY UNDER IMO CONVENTIONS

SOLAS 74	Res. MSC.215(82)	reg. II-1/3-2.2
	Res. MSC.133(76), as amended	reg. II-1/3-6.2.1
	Res. MSC.287(87)	reg. II-1/3-10.3
	Res. MSC.288(87)	reg. II-1/3-11.1
	Res. MSC.289(87)	reg. II-1/3-11.2
	2008 IS Code, part A	reg. II-1/5.1
	FSS Code	reg. II-2/3.22
	2010 FTP Code	reg. II-2/3.23
	LSA Code	reg. III/3.10
	IMSBC Code	reg. VI/1-2
	CSS Code, sub-chapter 1.9	reg. VI/2.1
	Grain Code	reg. VI/8.1
	IMDG Code	reg. VII/1.1
	IBC Code	reg. VII/8.1
	IGC Code	reg. VII/11.1
	INF Code	reg. VII/14.1
	ISM Code	reg. IX/1.1
	1994 HSC Code	reg. X/1.1
	2000 HSC Code	reg. X/1.2
	Res. A.739(18), as amended	reg. XI-1/1
	Res. A.789(19)	reg. XI-1/1
	Res. A.744(18), as amended	reg. XI-1/2
	Casualty Investigation Code, parts I and II	reg. XI-1/6
	Res. 4 of the 1997 SOLAS Conf.	reg. XII/1.7
	Res. MSC.169(79)	reg. XII/7.2
	Res. MSC.168(79)	reg. XII/14
	MARPOL	Res. MEPC.94(46), as amended
IBC Code		Annex II, reg. 1.4
BCH Code		Annex II, reg. 1.4
NO _x Technical Code 2008		Annex VI, reg. 5.3.2
STCW 78	STCW Code, part A	reg. I/1.2.3
LL PROT 1988	2008 IS Code	Annex 1, reg. 1

Annex 6

**SUMMARY OF AMENDMENTS TO MANDATORY INSTRUMENTS
REFLECTED IN THE NON-EXHAUSTIVE LIST OF OBLIGATIONS
(ANNEXES 1 TO 4)**

The amendments to mandatory instruments reflected in annexes 1 to 4 are summarized below to facilitate the amendment of corresponding tables in the future.

SOLAS 1974	up to and including 2011 amendments (res. MSC.317(89)), except chapter XI-2, regulation V/19-1 and ISPS Code)
Res. MSC.215(82)	as adopted
Res. MSC.133(76), as amended	up to and including the 2004 amendments (res. MSC.158(78))
Res. MSC.287(87)	as adopted
Res. MSC.288(87)	as adopted
Res. MSC.289(87)	as adopted
2008 IS Code	up to res. MSC.319(89)(part B only)
FSS Code	up to and including the 2011 amendments (res. MSC.311(88))
2010 FTP Code	up to and including the 2010 amendments (res. MSC.307(88))
LSA Code	up to res. MSC.320(89)
IMSBC Code	up to and including the 2011 amendments (res. MSC. 318(89))
CSS Code, sub-chapter 1.9	up to and including the 2002 amendments (MSC/Circ.1026)
Grain Code	up to and including the 1991 amendments (res. MSC.23(59))
IMDG Code	up to and including the 2010 amendments (res. MSC. 294(87))
IBC Code	up to and including the 2006 amendments (res. MSC.219(82) and res. MEPC.166(56))
IGC Code	up to and including the 2006 amendments (res. MSC.220(82))
INF Code	up to and including the 2007 amendments (res. MSC.241(83))
ISM Code	up to and including the 2008 amendments (res. MSC.273(85))
1994 HSC Code	up to and including the 2008 amendments (res. MSC.259(84))
2000 HSC Code	up to and including the 2008 amendments (res. MSC.271(85))
Res. A.739(18)	up to and including 2006 amendments (res. MSC.208(81))
Res. A.789(19)	no amendments yet adopted

Res. A.744(18), amended	up to and including the 2008 amendments (res. MSC.261(84))
Casualty Investigation Code	res. MSC.255(84)
Res. 4 of the 1997 SOLAS Conf.	no amendments yet adopted
Res. MSC.169(79)	no amendments yet adopted
Res. MSC.168(79)	no amendments yet adopted
SOLAS PROT 1978	up to and including the 1988 amendments (resolution of the 1988 GMDSS-P Conference)
SOLAS PROT 1988	up to and including the 2010 amendments (res. MSC.309(88))
MARPOL	up to and including the 2012 amendments (res. MEPC.217(63))
Res. MEPC.94(46), as amended	up to and including the 2006 amendments (res. MEPC.155(55))
IBC Code	up to and including the 2006 amendments (res. MEPC.166(56) and res. MSC.219(82))
BCH Code	up to and including the 2006 amendments (res. MEPC.144(54) and res. MSC.212(81))
NO _x Technical Code 2008	up to and including the 2012 amendments (res. MEPC. 217(63))
STCW 1978	up to and including the Manila amendments (STCW/Conf.2/res.1), except regulations VI/5.2, 6.3 and 6.6
STCW Code, part A	up to and including the Manila amendments (STCW/Conf.2/res.2)
LL 1966	up to and including the 2005 amendments (res. A.972(24))
LL PROT 1988	up to and including the 2008 amendments (res. MSC.270(85))
TONNAGE 1969	no amendments yet adopted
COLREG 1972	up to and including the 2001 amendments (res. A.910(22))

Annex 7

**AMENDMENTS³ TO IMO INSTRUMENTS EXPECTED TO BE ACCEPTED
AND TO ENTER INTO FORCE BETWEEN 1 JANUARY 2014 AND 1 JULY 2014**

The following tables contain non-exhaustive lists of obligations, including those obligations imposed when a right is exercised.

OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
MARPOL <u>Annex III, reg. 1.3</u>	<u>Application – issue of detailed requirements on packing, marking, labelling, documentation, stowage, quantity limitations and exceptions for preventing or minimizing pollution of the marine environment by harmful substances</u>	<u>In force 1/1/2014 by MEPC.193(61)</u>
IMDG Code		
<u>Ch. 3.3, SP356</u>	<u>Approval of metal hydride storage systems installed in vehicles, vessels or aircrafts or in completed components or intended to be installed in vehicles, vessels or aircrafts</u>	<u>In force 1/1/2014 by MSC.328(90)</u>
<u>Section 5.4.1</u>	<u>Information required in addition to the dangerous goods description – role of the competent authority</u>	<u>In force 1/1/2014 by MSC.328(90)</u>
<u>Section 6.2.3</u>	<u>The marking of salvage pressure receptacles – determination by the competent authority</u>	<u>In force 1/1/2014 by MSC.328(90)</u>
<u>Section 7.1.4.4</u> <u>7.1.4.5</u>	<u>Stowage of goods of class 7 – role of competent authority</u>	<u>In force 1/1/2014 by MSC.328(90)</u>

³ The struck-out text indicates deletions and the underlined text shows additions or changes to the non-exhaustive list of obligations.

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
SOLAS		
<u>Reg. V/14.2</u>	<u>Establishing appropriate minimum safe manning following a transparent procedure and issuing an appropriate minimum safe manning document or equivalent</u>	<u>In force 1/1/2014 by MSC.325(90)</u>
<u>2011 ESP Code⁴</u>		
<u>Annex A, part. A</u>		
Para. <u>3.3.4</u>	<u>Supervision of repair of cargo hatch securing system</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>5.1.1</u>	<u>Cooperation on development of a specific survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>5.1.4</u>	<u>Advice on the maximum acceptable structural diminution levels</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>5.2.2</u>	<u>Agreement on provisions for proper and safe access</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>8.1.2</u>	<u>Evaluation of survey report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>8.2.3</u>	<u>Endorsement of condition evaluation report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 4B, para. 1</u>	<u>Cooperation on development of a survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 5, para. 3.1</u>	<u>Certification of a company engaged in thickness measurement</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex A, part B</u>		
Para. <u>3.3.4</u>	<u>Supervision of repair of cargo hatch securing system</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>5.1.1</u>	<u>Cooperation on development of a specific survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>5.1.4</u>	<u>Advice on the maximum acceptable structural diminution levels</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>5.2.2</u>	<u>Agreement on provisions for proper and safe access</u>	<u>In force 1/1/2014 by A.1049(27)</u>
Para. <u>8.1.2</u>	<u>Evaluation of survey report</u>	<u>In force 1/1/2014 by A.1049(27)</u>

⁴ All items under resolution A.744(18) are deleted.

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
<u>Para. 8.2.3</u>	<u>Endorsement of condition evaluation report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 4B, para. 1</u>	<u>Cooperation on development of a survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 5, para. 3.1</u>	<u>Certification of a company engaged in thickness measurement</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex B, part A</u>		
<u>Para. 5.1.1</u>	<u>Cooperation on development of a specific survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 5.1.4</u>	<u>Advice on the maximum acceptable structural diminution levels</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 5.2.1.1</u>	<u>Agreement on provisions for proper and safe access</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 8.1.3</u>	<u>Evaluation of survey report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 8.2.3</u>	<u>Endorsement of condition evaluation report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 6B</u>	<u>Cooperation on development of a survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 7, para. 3.1</u>	<u>Certification of a company engaged in thickness measurement</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex B, part B</u>		
<u>Para. 5.1.1</u>	<u>Cooperation on development of a specific survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 5.1.4</u>	<u>Advice on the maximum acceptable structural diminution levels</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 5.2.1.1</u>	<u>Agreement on provisions for proper and safe access</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 8.1.3</u>	<u>Evaluation of survey report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Para. 8.2.3</u>	<u>Endorsement of condition evaluation report</u>	<u>In force 1/1/2014 by A.1049(27)</u>
<u>Annex 6B</u>	<u>Cooperation on development of a survey programme</u>	<u>In force 1/1/2014 by A.1049(27)</u>

SPECIFIC FLAG STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
<u>Annex 7, para. 3.1</u>	<u>Certification of a company engaged in thickness measurement</u>	<u>In force 1/1/2014 by A.1049(27)</u>
FSS Code		
<u>Para. 6/3.1.2</u>	<u>Foam concentrates of high-expansion foam fire-extinguishing systems – approval</u>	<u>In force 1/1/2014 by MSC.327(90)</u>
<u>Para. 6/4.1</u>	<u>Foam concentrates of low-expansion foam fire-extinguishing systems – approval</u>	<u>In force 1/1/2014 by MSC.327(90)</u>
<u>Para. 5/2.54</u>	Equivalent systems – approval	In force 1/7/2014 by MSC.339(91)
<u>Para. 7/2.4</u>	<u>Fixed water-based fire-fighting system for ro-ro spaces, vehicle spaces and special category spaces – approval</u>	In force 1/7/2014 by MSC.339(91)
<u>Para 14/2.2.1.2</u>	Medium expansion ratio foam – application rate, etc.	Chapter 14 replaced by MSC.339(91)
<u>Para. 14/2.2.1.4</u>	<u>Foam concentrate supplied on board for cargoes intended to be carried – approval</u>	In force 1/7/2014 by MSC.339(91)
Noise Code		
		<u>Mandatory under SOLAS reg. II-1/3 12 (in force 1/7/2014 by MSC.338(91))</u>
<u>Para. 3.3.9</u>	<u>Operating conditions at sea trials for ships with dynamic positioning (DP)</u>	<u>In force 1/7/2014 by MSC.337(91)</u>

SPECIFIC PORT STATE OBLIGATIONS		
SOURCE	SUMMARY DESCRIPTION	COMMENTS
MARPOL		
<u>Annex III, reg. 8</u>	<u>Port State control on operational requirements</u>	<u>In force 1/1/2014 by MEPC.193(61)</u>