

ANNEX

REPORTING PROCEDURE OF OBSERVED DUMPING INCIDENTS WHICH MAY BE IN VIOLATION OF INTERNATIONAL OCEAN DUMPING TREATIES (LONDON CONVENTION 1972 AND ITS 1996 PROTOCOL)

I GENERAL GUIDANCE

A. The countries that signed the London Convention 1972 and the 1996 Protocol thereto are interested in ensuring compliance with these international environmental agreements that control the dumping of wastes into the sea. Mariners operating in a country's territorial waters or on the high seas, or other persons may observe incidents that could be violations of the London Convention or the 1996 Protocol.

B. If a mariner or other observer ("Incident Observer") witnesses a dumping incident in any ocean waters that appears to be in violation of the London Convention 1972 or the 1996 Protocol, please do the following:

- .1 Immediately notify, if possible, the relevant coastal contact point so the proper authorities can determine an appropriate action. *A list of contacts can be found in: List of National Operational Contact Points Responsible for the Receipt, Transmission and Processing of Urgent Reports on Incidents Involving Harmful Substances, Including Oil from Ships to Coastal States, annex 2 for SOPEP*, (MSC-MEPC.6/Circ.10). This document is available online, via the Internet, at: <http://www.imo.org/OurWork/Environment/PollutionPrevention/OilPollution/Pages/Shipboard-Marine-Pollution-Emergency-Plans.aspx> (select "SOPEP National Operations Focal Points" on the right hand side of the given link) or <http://www.imo.org> (select either "National Contacts" or "Circulars" links on the bottom of the IMO homepage); and
- .2 Complete the attached **Dumping Incident Information Form** and **Supplementary Information Form** as completely as possible. These forms are available online at: <http://www.londonprotocol.imo.org> (select the "Reporting of dumping permits, force majeure and illegal cases", on the right hand side, at the given link provided or go directly to http://www.imo.org/blast/mainframemenu.asp?topic_id=1554).

C. An Incident Observer witnessing an incident should keep in mind the following information in relation to ocean dumping:

- .1 Dumping activities that may be in violation of the London Convention or the Protocol include any deliberate disposal into the sea of wastes or other matter from vessels, aircraft, platforms or other man-made structures at sea.
- .2 Certain wastes are acceptable for dumping into the sea, provided a permit has been issued for the dumping operation. A full description of what is and what is not prohibited for ocean dumping may be found in Articles III and IV and Annexes I and II of the Convention and in article 1 and Annex 1 of the Protocol. The full text of the Convention and the Protocol are available on-line at: <http://www.londonprotocol.imo.org>

- .3 If the Incident Observer is in doubt as to whether the incident is a violation of the Convention or the Protocol, he/she should report the incident to the appropriate authorities listed below for their evaluation.

D. Send copies of the incident information and copies of any supplementary information (e.g. charts, photographs, videos or other information) to:

- .1 The marine environmental enforcement or protection agency (i.e. coast guard or port authority) of the nearest coastal country to the observed incident, or the country of the vessel or aircraft observed dumping;
- .2 The Office for the London Convention and Protocol at the following address:

Office for the London Convention and Protocol 4 Albert Embankment London SE1 7SR United Kingdom	Telephone: +44 (0)20 7735 7611 Fax: +44 (0)20 7587 3210 E-mail: OLCP@imo.org Website: http://www.londonprotocol.imo.org
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and

- .3 The appropriate authority in the Incident Observer's national government that is responsible for managing ocean dumping activities, fisheries management, shipping or protection of the marine environment.

If supporting information is provided, please maintain original copies for future reference. If possible, complete the incident report online and email charts, photographs, videos, and other information to the appropriate address in electronic form.

II DUMPING INCIDENT INFORMATION FORM

(Please provide as much information as possible about the dumping incident)

- A. Identity of the Dumping Vessel or Aircraft : _____
- B. Country of the Dumping Vessel or Aircraft: _____
- C. Type of Material Dumped: Known Unknown
If known, describe: _____
- D. General location of incident (nearest country, landmarks, coordinates, etc.):

- E. Briefly describe the observed incident, including dates and times of significant events. (use additional sheets as needed): _____
- F. Was contact made with vessel or aircraft to ask about the observed dumping incident?
 Yes No (describe): _____

Incident Observer: (include information on other observers if present)

Name: _____

Affiliation: _____

Address: _____

Telephone: _____ Fax: _____

Radio Station & Frequency Monitored: _____

Satellite or Cell Phone: _____

Aircraft Call Signal: _____

E-mail: _____

H. Has the incident been reported to any authorities? If so, specify which country, including name and address: Yes No

SUPPLEMENTARY INFORMATION FORM

Any person who reports a possibly illegal dumping incident should, where possible, provide the following supporting information:

I ADDITIONAL INFORMATION ABOUT THE INCIDENT

- A. Identification/Registration No. of Dumping Vessel or Aircraft: _____

- B. Dumping Vessel Estimated Hull Length: _____(meters) Breadth: _____(meters)
- C. Description of Dumping Vessel or Aircraft: _____

- D. Incident observed from: Vessel Aircraft Land
- E. Name and Registration Number of the Incident Observer's vessel or aircraft (where applicable): _____

- F. Is navigation data available for the dumping vessel or aircraft? Yes No
If yes, provide copies with report.
- G. Are video tape recordings of the incident available? Yes No
If yes, provide copies with report.
- H. Are photographs of the incident available? Yes No
If yes, provide copies with report.

II OBSERVATION INFORMATION AND CONDITIONS

- A. Information on the location of the Incident Observer during the observations

Date: _____ Time: _____
Latitude: Degrees: _____ Minutes: _____ Seconds: _____ North South
Longitude: Degrees: _____ Minutes: _____ Seconds: _____ East West
Observation Platform (vessel or aircraft): Course (degrees): _____
Speed (knots): _____
Distance from incident (kilometres): _____

- B. Visibility: < 0.1 km 0.1-0.5 km 0.5-1.0 km 1.0-2.0 km > 2.0 km
 Other (describe): _____
- C. Wind Direction (degrees): _____ Wind Speed (knots): _____
- D. Sea State (Beaufort Scale number & description): _____
- E. Swell Direction (degrees): _____ Sea Height (metres): _____

III ADDITIONAL INFORMATION ON DUMPING VESSEL OR AIRCRAFT

Owner or Master of Vessel, or Pilot of Aircraft observed dumping (if known):

Name: _____

Affiliation: _____

Address: _____

Telephone: _____ Fax: _____

E-mail: _____

Unknown

IV ADDITIONAL INFORMATION ON OBSERVED DUMPING INCIDENT

A. Plot the location of the incident and other significant location information on a navigation chart, or available electronic format.

B. Provide as much of the following information as possible:

1. Bearing to Nearest Point of Land from Incident Site (degrees): _____

2. Distance from Nearest Point of Land to Incident Site (kilometres): _____

3. Course and Speed of Dumping Vessel or Aircraft (describe): _____

4. Was the dumping activity still occurring when the Incident Observer left the scene?

Yes No Unknown. Explain: _____

5. In the Incident Observer's opinion, are humans at risk from the dumping incident? Yes No Unknown

6. In the Incident Observer's opinion, is the marine environment at risk from the dumping incident? Yes No Unknown

