

## INTERIM ACTION LEVELS (IALS) FOR DREDGED MATERIALS<sup>1</sup>

### Purpose:

1 To provide those countries which currently lack country-specific sediment chemistry action levels a set of interim (i.e. temporary) action levels (IALs) for sediment-associated chemical constituents to support dredged material management decision-making, until such time as those countries are able to develop their own, regionally appropriate, levels.

### Approach:

2 Consistent with the Guidance for the Development of Action Lists and Action Levels for Dredged Material (IMO 2009), two action levels are derived, a lower level sediment concentration (Level 1), below which it is expected that there is a low probability of unacceptable contaminant-related effects associated with ocean disposal of dredged material, and an upper level sediment concentration (Level 2) above which ocean disposal of dredged material may pose an unacceptable contaminant-related risk without additional evaluation and/or the application of special engineering controls. In developing this interim set of action levels a comprehensive literature review and survey was undertaken to compile existing, published international action levels for dredged material management in a marine environment. Results of the compilation are summarized in tables 1 and 2 along with empirically derived effect levels and published naturally occurring background values (metals only), provided for comparative purposes.

3 To derive the IALs, the published action levels summarized in the tables 1 and 2 were pre-screened on a constituent-by-constituent basis. Only those constituents with four or more published action levels measured on mass dry weight basis (e.g. mg/kg) were utilized in the derivation of the IALs. As a consequence, the interim list includes values for metals (Arsenic, Cadmium, Chromium, Copper, Lead, Mercury, Nickel, and Zinc), total tributyltin (TBT), total polyaromatic hydrocarbons (PAHs) (based on a summation of 16 PAHs), total DDT, Lindane, and total polychlorinated biphenyls (PCBs) (based on summation of 7 ICES congeners). An outlier analysis was also performed on each constituent data set using Iglewicz and Hoaglin's multiple outlier test with modified z score outlier criteria of 3.5. If no outliers or a single outlier were detected the data was reanalysed using the Grubb's test (4 or more data points) or Dixon's test (3 data points).

4 Outliers identified through this analysis (red shaded cells in tables 1 and 2) were excluded in the subsequent derivation of the IALs. It is important to note that, although certain values identified as statistical outliers based on the data distribution were excluded in the derivation of the IALs, this does not imply that these values are inappropriate for their intended regional application.

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<sup>1</sup>

As approved by the London Convention/Protocol governing bodies in 2020 (LC 43/17, paragraph 4.10). Original document: LC 42/4/1, annex.





5 Four alternative approaches for the derivation of IALs were assessed:

Alternative 1: Lower IAL (Level 1) calculated as the lower 25th percentile of the pre-screened, published lower action levels for each constituent and upper IAL (Level 2) calculated as the upper 75th percentile of the pre-screened, published upper limits;

Alternative 2: Level 1 and level 2 IALs calculated as the median of the pre-screened lower and upper limits;

Alternative 3: Level 1 calculated as the upper 75th percentile of the published lower action levels for each constituent and level 2 calculated as the lower 25th percentile of the pre-screened upper limits: and

Alternative 4: Level 1 and level 2 IALs calculated as the lower 10th percentile of the pre-screened lower and upper limits.

6 Among the four alternative derivation methods evaluated, Alternative 1 (i.e., lower 25<sup>th</sup>; upper 75<sup>th</sup>) provided for a higher level of confidence in accurately identify toxic and nontoxic samples but yielded a larger percentage of samples potentially falling between the two limits and therefore requiring further evaluation. Alternative 3 (i.e., upper 75<sup>th</sup>; lower 25<sup>th</sup>) provided for the smallest number of samples potentially requiring further evaluation at the possible expense of incorrectly identifying non-toxic samples as toxic and toxic samples as non-toxic. The remaining two approaches (Alternative 2 [median] and Alternative 4 [lower 10th percentile]) attempted to strike a balance between the two extremes (i.e., ensure environmental protection [correct identification of toxic and toxic samples] while maximizing practical utility [smaller number of samples potentially requiring further evaluation]).

7 An additional “ground-truthing” step for each of the derivation alternatives included comparison of the derived interim Level 1 concentrations for metals to published crustal abundance concentrations (Table 1) for metals to ensure that the calculated lower level concentrations were elevated relative to published, naturally occurring, concentrations. For those metals where the derived Level 1 concentration was within the range of reported naturally occurring levels (chromium and nickel [Alternatives 1 & 2]), the upper 75th percentile of the background range was utilized as the Level 1 threshold. A comparison to other, empirically derived, effect levels was also conducted to ensure that the levels were consistent (i.e., within a factor of 2-3) with published low probability of effect concentrations (e.g., ERL, TEL’s etc.) and higher probability of effect concentrations (ERM, PEL’s etc.). IALs derived utilizing the 4 different approaches are summarized in Table 3.

8 An evaluation of the four approaches was conducted by Canada utilizing a database of 1,079 co-located sediment chemistry and toxicity test results from ambient monitoring studies conducted around the coasts of the United States (as described in document LC/SG 41/INF.8). The sediment results were used to compare the performance of the four alternative IAL derivation methods and various national action levels for the same list of contaminants. Results of this analysis are summarized in LC/SG 42/2/4.

9 Based on results of this analysis, the correspondence group determined that the approach utilizing the median values (Alternative 2 – highlighted columns in Table 3 struck an appropriate balance between environmental protection and practical utility and recommended that this alternative be utilized for calculation of IALs moving forward.

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### Application of IALs:

10 The IALs (those values presented in Table 3; the shaded columns) may be utilized on a temporary basis to support dredged material management decision making and should be applied in a manner consistent with the approaches outlined in LC/SG 40/WP.6 Annex (2017), the guidance document for the development of action list and action levels (IMO 2009) and the Waste Assessment Guidelines (IMO 2014).

### Other Considerations and Recommendations:

11 It must be emphasized that the interim values provided in Table 3 are intended for use only until such time as a country can develop more regionally appropriate values. Further, while a certain level of conservatism was utilized in the derivation of the IALs, no guarantee can be given as to the level of protectiveness for any particular region, without additional regional-specific validation, since IALs do not account for unique regional sediment types, geomorphological characteristics and/or species of concern. In addition, countries should note that the interim values provided in table 3 represent a limited number of common contaminants of concern and consequently may not include contaminants of concern unique to a particular country or region. While the interim values are best used as a suite of values with measurement and evaluation of all constituents, there may be instances where a subset of the interim values could be used as dictated by constituents of local/regional concern and/or the availability of analytical capabilities dictate. The interim values are intended as screening tools and should always be used in conjunction with other lines of evidence (such as results of ecotoxicity and bioaccumulation assessments) for purposes of management decision-making.

12 It is recommended that the IALs be reviewed every five years (at a minimum) to accommodate any revisions/additions to published country-specific ALs used in their derivation and provide opportunity for consideration of any relevant scientific advances. During this review period additional constituents may be considered as well as alternative approaches (providing there is sufficient technical justification). Finally, while the current set of IALs do not address the potential for indirect effects via bioaccumulation, it is possible that in the future, such an approach may be developed at which time development of IALs for protection against potential indirect effects may be considered.

**Table 3:** Summary of Interim Action Levels (IALs) derived via four different approaches. (shaded columns indicate IALs derived using the preferred approach).

Constituent	Level 1					Level 2				
	Alt. 1	Alt. 2	Alt. 3	Alt. 4	N <sup>E</sup>	Alt. 1	Alt. 2	Alt. 3	Alt. 4	N <sup>E</sup>
Arsenic (mg/Kg)	16	20	20	11	16	100	70	50	38	18
Cadmium (mg/Kg)	0.6	1.1	2.2	0.4	20	10	6	4	2.5	20
Chromium (mg/Kg)	89 <sup>F</sup>	89 <sup>F</sup>	100	48	7	370	360	200	156	17
Copper (mg/Kg)	35	45	65	20	17	368	155	90	60	20
Lead (mg/Kg)	49	65	86.3	39	18	500	220	200	108	19
Mercury (mg/Kg)	0.3	0.3	0.6	0.2	20	1.2	1	0.9	0.8	15

Nickel (mg/Kg)	45 <sup>F</sup>	45 <sup>F</sup>	53	20	7	140	60	52	47	14
Zinc (mg/Kg)	150	200	276	130	19	600	500	410	318	16
Total TBT (µg/Kg) <sup>A</sup>	3	5	8	7 x 10 <sup>-6</sup>	9	500	200	72	60	11
Total PAHs 16 (µg/Kg) <sup>B</sup>	2000	3100	4600	1200	12	34000	12800	7500	6200	9
Total DDT (µg/Kg) <sup>C</sup>	1.3	10	15	0.1	9	73	20	8.5	7.8	5
Lindane (µg/Kg)	0.3	0.4	0.4 <sup>G</sup>	0.3	6	1.4	1	1	1	4
Total PCBs (µg/Kg) <sup>D</sup>	14	20	23	7.9	12	210	180	100	50	11

<sup>A</sup> Summation of Mono-, Di-, Tri-, and Tetrabutyltins.

<sup>B</sup> Summation of 16 PAHs (Naphthalene, Acenaphthylene, Acenaphthene, Fluorene, Phenanthrene, Anthracene, Fluoranthene, Pyrene, Benz[a]anthracene, Chrysene, Benzo[b]fluoranthene, Benzo[k]fluoranthene, Benzo[a]pyrene, Dibenz[a,h]anthracene, Benzo[ghi]perylene, Indeno[1,2,3-cd]pyrene).

<sup>C</sup> Summation of DDD, DDE, and DDT isomers.

<sup>D</sup> Summation of the ICES-7 PCBs (CB28, 52, 101, 118, 138, 153, and 180).

<sup>E</sup> Number of values used in derivation.

<sup>F</sup> Lower limit based on upper 75<sup>th</sup> percentile of crustal abundance distribution.

<sup>G</sup> Median used in lieu of upper 75<sup>th</sup> percentile for level 1 value as value based on 75<sup>th</sup> percentile would be higher than level 2 value as a consequence of differences in the data distributions of the country specific ALs in tables 1 & 2 for Lindane.

## References:

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