

RESOLUTION A.877(21) adopted on 25 November 1999
WORK PROGRAMME AND BUDGET FOR THE TWENTY-FIRST
FINANCIAL PERIOD 2000-2001

INTERNATIONAL MARITIME ORGANIZATION



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ASSEMBLY
21st session
Agenda item 21

A 21/Res.877
4 February 2000
Original: ENGLISH

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**WORK PROGRAMME AND BUDGET FOR THE TWENTY-FIRST
FINANCIAL PERIOD 2000-2001**

A. WORK PROGRAMME AND BUDGET FOR 2000-2001

THE ASSEMBLY,

RECALLING Article 15 of the Convention on the International Maritime Organization and in particular paragraphs (f) and (g) thereof with regard to the functions of the Assembly in relation to the work programme and budget,

RECALLING FURTHER resolution A.726(17) by which the revised assessment formula for apportioning the contributions of Member States to the Organization's budget, and amendments to the Rules of Procedure of the Assembly concerning enforcement of the payment of contributions, were approved,

NOTING the provision of article III of the Financial Regulations with regard to the preparation of the programme budget estimates,

1. APPROVES the work programme submitted by the Secretary-General comprising ten major programmes which are divided into programmes and sub-programmes;

2. DECIDES that:

- (a) For the financial period 2000-2001 appropriations are here voted for the following purposes:

	2000-2001
	Appropriations
Programmes	£
1 - General policy and direction	1,303,500
2 - Maritime safety	3,296,100
3 - Marine environment protection	2,493,400
4 - Legal affairs	1,205,200
5 - Facilitation of maritime traffic	291,200
6 - Cross sectoral activities	1,118,550
7 - TC and institutional development	3,101,000
8 - Conference services	8,718,900
9 - Administrative services	7,939,150
10 - External relations and information services	1,027,400
Total estimated direct costs of major programmes	30,494,400
General operating expenses and headquarters premises	7,067,200
Staff turnover level (120 work months)	(501,000)
Total appropriations	37,060,600
Reimbursement of Technical Co-operation support cost	(448,400)
Net appropriations	36,612,200

- (b) The appropriation voted in subparagraph (a) above shall be financed by contributions from Member States after reduction for miscellaneous income (estimated at £280,000 for the biennium), and for a transfer from the surplus in the Printing Fund of £400,000 during the biennium;
- (c) Transfers of uncommitted balances of appropriations to the same major programme of the budget in the second calendar year other than those authorized by article IV of the Financial Regulations, and transfer of appropriations between major programmes of the budget, shall only be carried out with the prior concurrence of the Council;
- (d) In respect of each of the calendar years 2000 and 2001 covered by the financial period, the approved meetings shall be as in Appendix 1, the approved posts as in Appendix 2, and the appropriations and assessments shall be those indicated under the relevant programme headings in Appendix 3;

NOTING the provision in regulation 5.1 of the Financial Regulations and Rules concerning the use of the Working Capital Fund to finance the approved appropriation pending receipt of contributions,

RECOGNIZING ALSO that exceptional and unforeseen circumstances could arise pending receipt of contributions and when the funds in the Working Capital Fund are insufficient to finance the approved appropriations,

3. AUTHORIZES the Secretary-General to draw upon the Printing Fund, the Headquarters Capital Fund, the Technical Co-operation Fund and the Termination Benefit Fund, to finance the approved appropriations. Advances made from these Funds to finance budgetary appropriations during a financial period shall be reported to the Council immediately and reimbursed to each respective Fund as soon as and to the extent that income is available for that purpose,

RECOGNIZING further the provision in regulation 3.8 of the Organization's Financial Regulations and Rules concerning supplementary estimates and the past practice of the Assembly in authorizing the Council to approve any necessary recalculation of the appropriations for the second year of the biennium,

4. AUTHORIZES the Council at its eighty-fifth session to review the 2001 programme budget provisions and, if it decides it is necessary, to approve any appropriate recalculation of the 2001 appropriations and assessment figures in the light of the budgetary and exchange rate situation at that time;

5. INVITES the attention of the Council to the above-mentioned provisions;

6. REQUESTS the Secretary-General to ensure their application while endeavouring to effect the maximum economies in the budget.

7. REQUESTS the Council to keep under review the Organization's financial framework during the 2000-2001 biennium and to report on the outcome to the twenty-second session of the Assembly.

B. WORKING CAPITAL FUND

THE ASSEMBLY,

RECALLING resolution A.363(IX) by which the Working Capital Fund was established in the amount of \$250,000 as of 1 January 1976 by means of advances assessed on Member States,

RECALLING FURTHER resolution A.508(XII) authorizing the transfer to the Working Capital Fund of \$400,000 from the Printing Fund surplus, resolution A.552(13) authorizing the transfer to the Working Capital Fund of \$350,000 from the General Fund cash surplus, resolution A.633(15)B increasing the level of the Working Capital Fund to £1.25 million by a transfer from the Exchange Reserve Fund, and resolution A.837(19) increasing the level of the Working Capital Fund to £2 million in two stages by the transfer of £375,000 in each year of the 1996-1997 biennium from the surpluses of the Printing Fund which arose at the end of 1995 and 1996,

NOTING that, after taking into account payments by new Members, the level of the Working Capital Fund presently stands at £2,005,470,

1. DECIDES that new Members shall be assessed for their advances to the Working Capital Fund on the basis only of the part assessed on Members as at 1 January 1976;

2. AUTHORIZES the Secretary-General:

- (a) To advance from the Working Capital Fund such sums as may be necessary to finance the appropriations for the years 2000 and 2001 pending receipt of contributions from Members; such amounts so advanced shall be reimbursed to the Working Capital Fund as soon as contributions are available;

- (b) To advance from the Working Capital Fund such sums as may be necessary to finance additional expenditure arising from movements in the rate of exchange during 2000-2001 from the rate adopted for calculation of the appropriation; sums so advanced to be returned to the Working Capital Fund as soon as possible and in a manner decided on by the Council;
- (c) With the prior concurrence of the Council, to advance such sums as may be necessary to meet unforeseen or extraordinary expenses arising during the financial period 2000-2001, provided that such expenses are of a clearly exceptional nature and shall relate specifically to the approved work programme of the Organization and that the Council is assured that the relevant expenditure cannot be met by appropriate transfer action within the total budget approved for a calendar year;

3. REQUESTS the Secretary-General to report to the Council and the Assembly all advances made under the present resolution, and the circumstances relating thereto, and to submit supplementary estimates for reimbursement to the Working Capital Fund of advances made therefrom to meet unforeseen or extraordinary expenses.

C. PRINTING FUND

THE ASSEMBLY,

RECALLING that, in accordance with resolution A.100(IV), the disposal of any amount standing to the credit of the Printing Fund at the end of the financial period shall be decided by the Assembly,

RECALLING ALSO resolution A.307(VIII) of 17 December 1973, concerning the charging of expenditure to the Printing Fund,

RECALLING ALSO resolution A.873(20) of 27 November 1997 by which the Assembly authorized the Secretary-General to transfer, at the beginning of each year from 1 January 2000, all surplus funds from the Printing Fund to the Technical Co-operation Fund, unless otherwise decided by the Assembly,

1. APPROVES that the amount standing to the credit of the Printing Fund at the end of the financial period 1998-1999 shall be carried forward to the Printing Fund for the financial period 2000-2001;
2. DECIDES that the interest earnings accruing in the Printing Fund shall continue to be credited to the Printing Fund;
3. DECIDES that the attributable indirect costs associated with the printing of the Organization's publications shall be charged, with effect from the twenty-second financial period, to the Printing Fund and displayed on the Printing Fund accounts;
4. AUTHORIZES the Secretary-General to make the transfer noted in paragraph 2(b) of Part A of this resolution, and additionally:
 - (a) To transfer at 1 January 2000 £1,500,000 from the balance of the 1999 Printing Fund surplus to the Technical Co-operation Fund, as noted in paragraph 1(a) of Part E of this resolution;

- (b) To transfer at 1 January 2001 £1,500,000 from the balance of the 2000 Printing Fund surplus to the Technical Co-operation Fund, as noted in paragraph 1(b) of Part E of this resolution;

D. HEADQUARTERS CAPITAL FUND

THE ASSEMBLY,

RECALLING that the Assembly decided at its eighteenth regular session, in resolution A.778(18), on the establishment of the Headquarters Capital Fund by transferring the balance held in the Headquarters Installation Fund and by transfers from the Printing Fund of £500,000 in 1994 and £250,000 in 1995,

RECALLING ALSO that the Assembly decided that the Fund shall be replenished from time to time by such transfers from other funds of the Organization as may be approved by the Assembly or by the transfer of funds from the Organization's regular budget as may be approved by the Assembly,

NOTING that the Fund has enabled the Secretary-General to plan for and implement major capital programmes over the years 1994 to 1999, notably in the field of office automation and communication, which improve the Organization's efficiency and effectiveness,

NOTING ALSO that the capital expenditure programme for the next biennium as presented in Annex 3 to C 82/11/Add.1 indicates that fresh transfers of funds are required to rebuild the base of the Fund,

1. AUTHORIZES the Secretary-General:
 - (a) To transfer £400,000 from the surplus of the General Fund at 1 January 2000 to the Headquarters Capital Fund; and
 - (b) To transfer £400,000 from the surplus of the General Fund at 1 January 2001 to the Headquarters Capital Fund.
2. APPROVES that the income arising from investments of the Headquarters Capital Fund shall continue to be credited to the Headquarters Capital Fund.

E. TECHNICAL CO-OPERATION FUND

THE ASSEMBLY,

RECALLING resolution A.593(14) of 20 November 1985 by which the Technical Co-operation Fund was established, and which resolved that the interest shall be used to assist the Technical Co-operation Programme of the Organization in accordance with the proposals approved by the Assembly,

RECALLING FURTHER resolution A.837(19) of 23 November 1995 by which the funds from the Technical Co-operation Fund were drawn down and converted into pounds sterling for application to technical co-operation activities, and which further enabled the level of the funds to be increased by donor contributions and by transfer from other funds of the Organization as may be approved by the Assembly,

RECALLING ALSO resolution A.873(20) of 27 November 1997 by which the Assembly authorized the Secretary-General to transfer, at the beginning of each year from 1 January 2000, all surplus funds from the Printing Fund to the Technical Co-operation Fund, unless otherwise decided by the Assembly,

NOTING that the Assembly decided upon transfers from the General Fund of £500,000 in 1996 and £500,000 in each year of 1998 and 1999, and from the Printing Fund of £2.3 million in 1996, £700,000 in 1997 and £500,000 in each year of 1998 and 1999, to the Technical Co-operation Fund to carry out its technical co-operation activities,

NOTING with concern the continued decline of the extra-budgetary funds donated to the Organization for technical assistance and the very low level of funds available to finance the Integrated Technical Co-operation Programme of the Organization,

RECOGNIZING the need to finance a core programme of technical co-operation, which will assist developing countries in the implementation of the Organization's conventions and instruments,

1. AUTHORIZES the Secretary-General:

- (a) To transfer £1,500,000 from the surplus of the Printing Fund at 1 January 2000 to the Technical Co-operation Fund; and
- (b) To transfer £1,500,000 from the surplus of the Printing Fund at 1 January 2001 to the Technical Co-operation Fund.

2. APPROVES that the income arising from investments of the Technical Co-operation Fund shall continue to be credited to the Technical Co-operation Fund;

3. REQUESTS the Secretary-General to submit programmes of technical co-operation activities indicating outputs and end results from the use of the Fund's resources during the 2000-2001 biennium.

F. TERMINATION BENEFIT FUND

THE ASSEMBLY,

NOTING the Organization's liability for termination indemnity and repatriation grants of approximately £5.0 million as at 31 December 1999,

RECALLING resolution A.837(19) by which the Termination Benefit Fund was established by an initial transfer of £900,000 from the cash surplus of the General Fund as at 1 January 1996 to meet the costs associated with payment of termination benefit to the staff of the Organization,

RECALLING ALSO that the Assembly decided that the Fund shall be replenished from time to time by such transfers from other funds of the Organization as may be approved by the Assembly and by the transfer of funds from the Organization's regular budget as may be approved by the Assembly and that the Fund shall be administered in accordance with the Financial Regulations and Rules of the Organization,

NOTING that the Assembly decided upon the transfer from the General Fund of £250,000 in each year of 1998 and 1999 to meet the costs associated with termination benefit payments to the staff of the Organization,

APPROVES that income arising from investments of the Termination Benefit Fund shall be credited to the Termination Benefit Fund.

List of meetings approved for 2000 and 2001

Meeting	2000	2001
	Number of meeting weeks	Number of meeting weeks
Assembly, twenty-second session		2.0
Council and Technical Co-operation Committee	2.0*	1.2**
MSC & MEPC	5.0	2.5
Sub-committees	9.0	8.0
Legal Committee	2.0	1.0
Facilitation Committee	1.0	-
London Convention	1.0	1.0
Diplomatic Conferences		
OPRC Conference	1.0	-
TBT Conference	-	1.0
Pollution from Ships' Bunkers	-	1.0
Protocol to Amend Article 43:1 of the 1971 Fund Convention	-***	
Total	21.0	17.7

* Includes 1.5 days each for the June and November sessions of TCC

** One week for June regular session and one day for extraordinary session prior to Assembly, which includes one day for June session of TCC

*** The cost of a two day Conference is to be borne by the International Oil Pollution Compensation Fund.

List of posts approved for 2000 and 2001

	Professional and higher categories		General Service category		Total
	Regular budget	Printing Fund	Regular budget	Printing Fund	
Office of the Secretary-General	5	-	5	-	10
Maritime Safety Division	20	1	14	-	35
Marine Environment Division	12	-	5	-	17
Legal Affairs and External Relations Division	11	-	7	-	18
Administrative[*] Division	24	2	66	18	110
Conference Division	40	2	50	1	93
Technical Co-operation Division	8	-	11	-	19
Total	120	5	158	19	302

* *Printing and publication programmes transferred from Conference Division to Administrative Division during 1999.*

**Work programme and programme budgets
 for the twenty-first financial period 2000-2001 biennium**

Programmes	2000 £	2001 £	Sub-total £	Total £
1 General policy and direction				
1 Governance (Council, Assembly)	76,500	203,600	280,100	
2 Office of the Secretary-General	364,500	367,000	731,500	
3 Internal oversight	145,300	146,600	291,900	1,303,500
2 Maritime Safety				
1 Direction and Management	271,150	207,800	478,950	
2 Training and Human Element Matters	346,800	356,000	702,800	
3 Stability, Load Lines and Fishing Vessels Safety	110,850	112,500	223,350	
4 Ship Design and Equipment	143,250	147,800	291,050	
5 Fire Protection	155,050	159,100	314,150	
6 Radio Communications and Search and Rescue	223,450	195,500	418,950	
7 Navigational Operations and Equipment	224,250	235,200	459,450	
8 Bulk Liquid and Gases	59,450	60,300	119,750	
9 Dry Cargoes and Containers	142,350	145,300	287,650	
10 TC and Inst. Develop. - Implement. of Safety Prog. (shown in Prog. 7.5.1)				3,296,100
3 Marine Environment Protection				
1 Direction and Management	242,350	205,950	448,300	
2 Amendments to and Implementation of MARPOL	310,700	369,700	680,400	
3 Marine Pollution Conference	77,750	79,950	157,700	
4 Pollution Preparedness and Response (OPRC)	308,150	270,750	578,900	
5 Management of Waste Disposal at Sea (London Convention)	154,050	156,150	310,200	
6 Follow-up to UNCED	39,700	41,900	81,600	
7 GESAMP and GIPME	117,400	118,900	236,300	
8 TC and Inst. Develop. - Implement. of Environ. Prog. (shown in Prog. 7.5.2)				2,493,400
4 Legal Affairs				
1 Direction and Management	171,550	170,650	342,200	
2 Legal Affairs	428,350	434,650	863,000	
3 TC and Inst. Develop. - Implement. of Maritime. Legisl. Prog. (shown in Prog. 7.5.3)				1,205,200
5 Facilitation of Maritime Traffic				
1 Direction and Management	17,000	17,000	34,000	
2 Amendments to & implementation of FAL	145,600	111,600	257,200	
3 TC and Inst. Develop. - Implement. of FAL Prog. (shown in Prog. 7.5.4)				291,200
6 Cross Sectoral Activities				
1 Direction and Management	63,800	64,400	128,200	
2 Flag State Implementation	144,350	148,000	292,350	
3 Port State Control	73,600	76,200	149,800	
4 Ship Casualties	59,700	62,100	121,800	
5 Survey and Certification	38,000	39,300	77,300	
6 Dry Cargoes	63,800	64,300	128,100	
7 New Approach to Safety	32,300	33,300	65,600	
8 Co-operation with the UN and other Intl bodies	76,200	79,200	155,400	1,118,550
7 TC and Institutional Development				
1 Direction and Management	234,000	226,100	460,100	
2 ITCP: Strategy and Programme Development	349,800	362,000	711,800	
3 Resource Mobilization within a Tripartite relationship	146,500	151,200	297,700	
4 Management of ITCP execution	167,700	173,300	341,000	
5 Programme Implementation				
1 Maritime safety	206,700	214,850	421,550	
2 Marine environment	114,400	118,000	232,400	
3 Maritime legislation	81,400	82,100	163,500	
4 Facilitation of International Maritime Traffic	31,150	32,800	63,950	
6 ITCP Monitoring and Impact-assessment	164,400	170,000	334,400	
7 Training and Institution Support	34,900	39,700	74,600	3,101,000
8 Conference Services				
1 Direction and Management	146,200	149,300	295,500	
2 Conference Management and Support Services	4,132,100	4,291,300	8,423,400	8,718,900
9 Administrative Services				
1 Direction and Management	166,000	173,300	339,300	
2 Financial Services	781,300	804,100	1,585,400	
3 Personnel Services	603,100	621,950	1,225,050	
4 Office Services	1,176,100	1,215,100	2,391,200	
5 IT and Office Automation	342,400	359,100	701,500	
06 Publishing	841,100	855,600	1,696,700	7,939,150
10 External Relations and Information Services				
1 Direction and Management	56,300	55,700	112,000	
2 External Relations	281,300	281,700	563,000	
3 Information Services	172,000	180,400	352,400	1,027,400
Total estimated direct costs	15,056,100	15,438,300		30,494,400
General Operating Expenses and Headquarters Premises	3,523,300	3,543,900		7,067,200
Estimated staff turnover	(250,500)	(250,500)		(501,000)
Total proposed appropriations	18,328,900	18,731,700		37,060,600
Reimbursement of Technical Co-operation support costs	(224,200)	(224,200)		(448,400)
Net proposed appropriations	18,104,700	18,507,500		36,612,200
Less:				
Miscellaneous income	(140,000)	(140,000)		(280,000)
Transfer from the Printing Fund	(200,000)	(200,000)		(400,000)
Net to be Assessed	17,764,700	18,167,500		35,932,200

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