

RESOLUTION A.837(19) adopted on 23 November 1995
WORK PROGRAMME AND BUDGET FOR THE
NINETEENTH FINANCIAL PERIOD 1996-1997



ASSEMBLY
19th session
Agenda item 25

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**WORK PROGRAMME AND BUDGET FOR THE
NINETEENTH FINANCIAL PERIOD 1996-1997**

A. WORK PROGRAMME AND BUDGET FOR 1996-1997

THE ASSEMBLY,

RECALLING Article 15 of the Convention on the International Maritime Organization and in particular (f) and (g) thereof with regard to the functions of the Assembly in relation to the work programme and budget,

NOTING the provisions of article III of the Financial Regulations with regard to the preparation of the budget estimates,

RECALLING ALSO resolution A.726(17) on Apportionment of Expenses Among Member States and Amendments to the Rules and Procedure of the Assembly,

1. APPROVES the work programme submitted by the Secretary-General, as recommended by the Council;

2. DECIDES that:

(a) For the financial period 1996-1997 appropriations are here voted for the following purposes:

	£
I. Meetings and travel	2,026,600
II. Personnel	23,826,400
III. General Services	7,515,200
IV. Publications and public information	35,400
V. Other budgetary provisions	3,208,600
Total	<u>36,612,200</u>

- (b) The appropriations voted in (a) above shall be financed by contributions from Member States after reduction for miscellaneous income (estimated at £280,000 for the biennium), and for a transfer from the surplus in the Printing Fund of £300,000 during the biennium;
- (c) Transfers of uncommitted balances of appropriations to the same section of the budget in the second calendar year other than those authorized by article IV of the Financial Regulations, and transfer of appropriations between sections of the budget, shall only be carried out with the prior concurrence of the Council;
- (d) In respect of each of the calendar years 1996 and 1997 covered by the financial period, the approved meetings shall be as in appendix 1, the approved posts as in appendix 2, and the appropriations and assessments shall be those indicated under the relevant headings in appendix 3;

RECOGNIZING the provision in regulation 3.8 of the Organization's Financial Regulations and Rules concerning supplementary estimates and the past practice of the Assembly in authorizing the Council to approve any necessary recalculation of the appropriations for the second year of the biennium,

3. AUTHORIZES the Council at its seventy-seventh session to review the 1997 budget provisions and, if it decides it is necessary, to approve any appropriate recalculation of the 1997 appropriations and assessment figures in the light of the budgetary and exchange rate situation at that time;

4. INVITES the attention of the Council to the above-mentioned provisions;

5. REQUESTS the Secretary-General to ensure their application while endeavouring to effect the maximum economies in the budget;

6. REQUESTS the Council to review without any limitation on scope, the Organization's financial framework during the 1996-1997 biennium, and to consider, *inter alia*:

- (a) adding an eleventh category to the basic assessment for Member States with a contribution to the United Nations of more than 10% and less than 16%;
- (b) improving the balance between the assessment formula and enforcement measures concerning contribution payments;

and to submit the report on this review to the twentieth regular session of the Assembly.

B. WORKING CAPITAL FUND

THE ASSEMBLY,

RECALLING resolution A.363(IX) by which the Working Capital Fund was established in the amount of \$250,000 as of 1 January 1976 by means of advances assessed on Member States,

RECALLING FURTHER resolution A.508(XII) authorizing the transfer of the Working Capital Fund of \$400,000 from the Printing Fund surplus, resolution A.552(13) authorizing the transfer to the Working Capital Fund of £350,000 from the General Fund cash surplus, and resolution A.633(15)B increasing the level of the Working Capital Fund to £1.25 million by a transfer from the Exchange Reserve Fund,

NOTING that, after taking into account payments by new Members, the level of the Working Capital Fund presently stands at £1,253,466,

1. DECIDES that:

- (a) The Working Capital Fund shall be increased to £2 million in two stages by the transfer of £375,000 in each year of the 1996-1997 biennium from the surpluses of the Printing Fund which arise at the end of 1995 and 1996;
- (b) New Members shall be assessed for their advances to the Working Capital Fund on the basis only of the part assessed on Members as at 1 January 1976;

2. AUTHORIZES the Secretary-General:

- (a) To advance from the Working Capital Fund such sums as may be necessary to finance the appropriations for the years 1996 and 1997 pending receipt of contributions from Members; such amounts so advanced shall be reimbursed to the Working Capital Fund as soon as contributions are available;
- (b) To advance from the Working Capital Fund such sums as may be necessary to finance additional expenditure arising from movements in the rate of exchange during 1996-1997 from the rate adopted for calculation of the appropriation; sums so advanced to be returned to the Working Capital Fund as soon as possible and in a manner decided on by the Council;
- (c) With the prior concurrence of the Council, to advance such sums as may be necessary to meet unforeseen or extraordinary expenses arising during the financial period 1996-1997, provided that such expenses are of a clearly exceptional nature and shall relate specifically to the approved work programme of the Organization and that the Council is assured that the relevant expenditure cannot be met by appropriate transfer action within the total budget approved for a calendar year;

3. REQUESTS the Secretary-General to report to the Council and the Assembly all advances made under the present resolution, and the circumstances relating thereto, and to submit supplementary estimates for reimbursement to the Working Capital Fund of advances made therefrom to meet unforeseen or extraordinary expenses.

C. PRINTING FUND

THE ASSEMBLY,

RECALLING that, in accordance with resolution A.100(IV), the disposal of any amount standing to the credit of the Printing Fund at the end of the financial period shall be decided by the Assembly,

- 1. APPROVES that the amount standing to the credit of the Printing Fund at the end of the financial period 1994-1995 shall be carried forward to the Printing Fund for the financial period 1996-1997;
- 2. DECIDES that the interest earnings accruing in the Printing Fund shall continue to be credited to the Printing Fund;

3. AUTHORIZES the Secretary-General to make the transfers noted in 2(b) of part A and 1(a) of part B of this resolution, and additionally;

- (a) To transfer at 1 January 1996 £950,000 from the Printing Fund surplus, as at the end of 1995, to the Headquarters Capital Fund and a further £800,000 to be transferred at 1 January 1997 from the Printing Fund surplus as at the end of 1996, as noted in 1 of part D of this resolution;
- (b) To transfer at 1 January 1996 £2.3 million from the Printing Fund surplus, as at the end of 1995, to the Technical Co-operation Fund, as noted in 2(b) of part E of this resolution;
- (c) To transfer £700,000 from Printing Fund surplus, as at the end of 1996, to the Technical Co-operation Fund in 1997, as noted in 2(c) of part E of this resolution.

D. HEADQUARTERS CAPITAL FUND

THE ASSEMBLY,

RECALLING that the Assembly decided at its eighteenth regular session, in resolution A.778(18), to establish the Headquarters Capital Fund by transferring the balance held in the Headquarters Installation Fund and by transfers from the Printing Fund of £500,000 in 1994 and £250,000 in 1995,

RECALLING ALSO that the Assembly decided that the Fund shall be replenished from time to time by such transfers from other funds of the Organization as may be approved by the Assembly or by the transfer of funds from the Organization's regular budget as may be approved by the Assembly,

NOTING that the Fund has already enabled the Secretary-General to plan for and implement major capital programmes in 1994 and 1995 in the field of office automation and communication which improve the Organization's efficiency and effectiveness,

NOTING that the capital expenditure programme for the next biennium and beyond, as presented in annex 3 to document C 74/20, indicates that fresh transfers of funds are required to rebuild the base of the Fund,

- 1. AUTHORIZES the Secretary-General to transfer £950,000 as at 1 January 1996 and £800,000 at 1 January 1997 respectively from the Printing Fund surplus;
- 2. APPROVES that the income arising from investments of the Headquarters Capital Fund shall continue to be credited to the Headquarters Capital Fund.

E. TECHNICAL CO-OPERATION FUND

THE ASSEMBLY,

RECALLING resolution A.593(14) of 20 November 1985 by which the Technical Co-operation Fund was established, and which resolved that the interest shall be used to assist the Technical Co-operation Programme of the Organization in accordance with the proposals approved by the Assembly,

NOTING with concern the continued decline of the extra-budgetary funds donated to the Organization for technical assistance and the very low level of funds available to finance the Integrated Technical Co-operation Programme of the Organization,

RECOGNIZING the need to finance a core programme of technical co-operation which will assist developing countries in the implementation of the Organization's conventions and instruments,

1. DECIDES to widen the scope of the Technical Co-operation Fund to enable funds to be drawn down and applied to technical co-operation activities and to enable the level of the funds to be increased by donor contributions and by transfer from other funds of the Organization as may be approved by the Assembly,
2. AUTHORIZES the Secretary-General:
 - (a) To convert the existing balance of the Fund to the newly constituted Fund, which will be henceforth denominated in sterling pounds and which shall be administered in accordance with the Financial Regulations and Rules of the Organization;
 - (b) To transfer £2,300,000 from the surplus of the Printing Fund at 1 January 1996 to the Technical Co-operation Fund;
 - (c) To transfer £700,000 from the surplus of the Printing Fund at 1 January 1997 to the Technical Co-operation Fund;
 - (d) To transfer £500,000 from the cash surplus of the General Fund at 1 January 1996 to the Technical Co-operation Fund;
3. APPROVES that the income arising from investments of the Technical Co-operation Fund shall be continue to be credited to the Technical Co-operation Fund;
4. REQUESTS the Secretary-General to submit a programme for the projected use of the Fund during the 1996-1997 biennium and the rules governing the operation of the Fund to the Council at its seventy-sixth session for the Council's consideration and approval.

F. TERMINATION BENEFIT FUND

THE ASSEMBLY,

NOTING the Organization's liability for termination indemnity and repatriation grants of approximately £5.0 million as at 31 December 1995 and the requirement of the United Nations common accounting standards to establish reserves to meet such liabilities,

RECOGNIZING that most of the specialized agencies of the United Nations common system have established such reserves to meet termination payments,

RESOLVES that:

- (a) a Termination Benefit Fund be established with effect from 1 January 1996 to meet the costs associated with termination benefit of the staff of the Organization;
- (b) the Fund shall be established at an initial level of £900,000 by the transfer of the said amount from the cash surplus of the General Fund as at 1 January 1996;

A 19/Res.837

- 6 -

- (c) the Fund shall be replenished from time to time by such transfers from other funds of the Organization as may be approved by the Assembly and by the transfer of funds from the Organization's regular budget as may be approved by the Assembly;
- (d) the Fund shall be administered in accordance with the Financial Regulations and Rules of the Organization;
- (e) income arising from investments of the Termination Benefit Fund shall be credited to the Termination Benefit Fund.

APPENDIX 1

LIST OF MEETINGS APPROVED FOR 1996 AND 1997

Meeting	1996	1997
	Number of meetings	Number of meetings
Assembly, twentieth session		2
Council and Technical Co-operation Committee	2	*1.2
MSC, MEPC & subsidiary bodies		
MSC & MEPC	4.1	3.5
Sub-committees	9	**7
Sub-total	13.1	10.5
Legal Committee	1	2
Facilitation Committee	1	1
London Convention	1	1
Diplomatic conferences		
HNS	3	
London Convention	2	
Air pollution		1
Total	23.1	18.7

* One week for spring regular session and one day for extraordinary session prior to Assembly.

** Postponing a sub-committee meeting to 1998 to finance the 3-days extension of MEPC in 1996.

APPENDIX 2

LIST OF POSTS APPROVED FOR 1996 AND 1997

	Professional and higher categories	General Service category	Total
Office of the Secretary- General	5	5	10
Maritime Safety Division	20	13	33
Marine Environment Division	11	6	17
Legal Affairs and External Relations Division	11	7	18
Administrative Division	16	53	69
Conference Division	45	91	136
Technical Co-operation Division	9	10	19
Total	117	185	302

APPENDIX 3

APPROVED APPROPRIATIONS FOR 1996-1997

SECTION	1996 Proposed appropriation £	1997 Proposed appropriation £	1996-1997 Total £
I. <u>Meetings and travel</u>			
1. Conferences and meetings	897,300	827,400	1,724,700
2. Travel	148,500	153,400	301,900
Total section I	1,045,800	980,800	2,026,600
II. <u>Personnel</u>			
1. Salaries	7,141,400	7,278,100	14,419,500
2. Post adjustment	1,495,200	1,727,800	3,223,000
3. Overtime and temporary assistance	231,000	238,200	469,200
4. Recruitment and separation expenses	22,800	38,000	60,800
5. Staff benefits and allowances	2,667,700	2,824,200	5,491,900
6. Representation allowances	25,000	25,800	50,800
7. Jointly-financed UN bodies	54,800	56,400	111,200
Total section II	11,637,900	12,188,500	23,826,400
III. <u>General services</u>			
1. Hospitality	17,600	18,100	35,700
2. Office and reproduction supplies	167,500	170,700	338,200
3. Furniture, equipment and vehicles	255,700	263,400	519,100
4. Library	38,000	45,000	83,000
5. Communications	293,700	302,500	596,200
6. Headquarters premises	2,742,800	2,852,200	5,595,000
7. Other supplies and services	171,400	176,600	348,000
Total section III	3,686,700	3,828,500	7,515,200
IV. <u>Publications and public information</u>			
1. Printing	100	100	200
2. Public information	17,400	17,800	35,200
Total section IV	17,500	17,900	35,400
V. <u>Other budgetary provisions</u>			
1. External audit	26,500	27,300	53,800
2. Miscellaneous expenditure	1,560,700	1,594,100	3,154,800
Total section V	1,587,200	1,621,400	3,208,600
Total appropriations	17,975,100	18,637,100	36,612,200
Less: Miscellaneous income	-140,000	-140,000	-280,000
Less: Transfer from Printing Fund surplus	-150,000	-150,000	-300,000
Net to be assessed	17,685,100	18,347,100	36,032,200

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